

From: Shaun Nicol
To: [code secretariat](#)
Subject: Teachers meeting the proposed competence, knowledge and skill for financial planning (level 7 Relevant degree)
Date: Wednesday, 28 March 2018 5:59:43 p.m.

Dear Sir/Madaam,

I simply submit to you that a person holding a Bachelors Degree in Education and a Diploma in Teaching (A Qualified Teacher) has already had to demonstrate high codes of ethics, honesty, fairness and integrity in due course to meet the high standards set out for teachers and similarly those of Accountants and Lawyers, and that along with a Level 5 New Zealand Certificate in Financial Services qualification, said people should be seen as having qualified enough to meet the proposed standards of competence, knowledge and skill to carry out financial planning. However I would recommend that a Level 5 or 6 Financial Planning Strand of say 30 credits be a requirement to bring them up to speed adequately so as to confidently demonstrate a high level of competence, knowledge and skill.

Giving Advice and Financial Planning requires a high level of skill in educating (teaching) clients and the public via communication, the use of resources, having a high degree of empathy and insight. I believe a person with a Teaching degree is more than qualified to carry out the duties of a Financial Planner and all that goes into making sure the clients interests come first and foremost.

Kind Regards

Shaun Nicol
Financial Adviser
Hawkes Bay

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