Code Working Group - Online Submission Form

#21

COMPLETE

Collector:	WebLnk3 (WebLnk)
Started:	Wednesday, October 17, 2018 10:21:25 AM
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Q1 Overall, do you agree or disagree with [standard 1] **Agree** and proposed commentary?

Q2 Please provide any comments on [standard 1] and Respondent skipped this question the proposed commentary.

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Q3 Overall, do you agree or disagree with [standard 2] and proposed commentary?

Q4 Please provide any comments on [standard 2] and the proposed commentary.

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Q5 Overall, do you agree or disagree with [standard 3] and proposed commentary?

Q6 Please provide any comments on [standard 3] and the proposed commentary.

Respondent skipped this question

Respondent skipped this question

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Q7 Overall, do you agree or disagree with [standard 4] Agree and proposed commentary?
Q8 Please provide any comments on [standard 4] and Respondent skipped this question

Agree

Agree

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the proposed commentary.

Q9 Overall, do you agree or disagree with [standard **Agree** 5] and proposed commentary?

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Q10 Please provide any comments on [standard 5] and Respondent skipped this question the proposed commentary.

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Q11 Overall, do you agree or disagree with [standard 6] Agree and proposed commentary?

Q12 Please provide any comments on [standard 6] and **Respondent skipped this question** the proposed commentary.

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Q13 Overall, do you agree or disagree with [standard 7] Agree and proposed commentary?

Respondent skipped this question Q14 Please provide any comments on [standard 7] and the proposed commentary.

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Q15 Overall, do you agree or disagree with standard 8] Agre and proposed commentary?

Q16 Please provide any comments on [standard 8] and **Respondent skipped this question** the proposed commentary

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Q17 Overall, do you agree or disagree with [standard 9] Neither agree nor and proposed commentary? disagree

Q18 Please provide any comments on [standard 9] and the proposed commentary.

W any consideration be given to experience in the industry. I would have thought that 30 years in the NZ industry would far outwe gh overseas qua f cat ons etc. An understand ng of the framework cou d accompany exper ence.

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Q19 Overall, do you agree or disagree with [standard 10] and proposed commentary?	Agree
Q20 Please provide any comments on [standard 10] and the proposed comentary.	Respondent skipped this question

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Q21 Overall, do you agree or disagree with [standard 11] and proposed commentary?	Don't know
Q22 Please provide any comments on [standard 11] and	the proposed commentary.
Don't do nvestments	
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Q23 Overall, do you agree or disagree with [standard 12] and proposed commentary?	Agree
Q24 Please provide any comments on [standard 12] and the proposed commentary.	Respondent skipped this question
Page 16	
Q25 Is there anything missing from the draft Code?	Unsure
Q26 If you answered yes, what is missing?	Respondent skipped this question
Q27 Do you have any feedback on the examples, or suggestions on other examples that should be included in the draft Code?	Respondent skipped this question
Q28 Is there anything else you want to say?	Respondent skipped this question
Page 17 Q29 Name	
Roy Norr s	
Q30 Your role or professional title	
Fnanca Advser	
Q31 Individual or organisational submission	This is an individual submission and not on behalf of an organisation
Q32 If you give financial advice	l am a RFA

Q33 My organisation or I give the following types of	Business ins
advice	life and/ar b

surance,

Life and/or health insurance

Q34 Organisation Name

R&G Consu tants Ltd

Q35 Type of organisation

Q36 Size of organisation

Small firm (1-10

Respondent skipped this question

staff)

Insurance broker

Q37 If there are other things we should know about you or your business that would provide context to your answers, please provide details below.

Q38 Please indicate whether your submission contains any information that is confidential or whether you do not wish your name or any other personal information to be included in a summary of submissions.

None

Q39 Please provide your contact details (email and/or phone number) This is the only question that requires an answer. This information would not be released publicly. We may get in touch with you in order to help us understand particular points from your submission.

s 9(2)(a)