#### Code Working Group - Online Submission Form

# #36

## COMPLETE

Collector:	WebLnk3 (WebLnk)
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**Q1** Overall, do you agree or disagree with [standard 1] **Agree** and proposed commentary?

**Q2** Please provide any comments on [standard 1] and the proposed commentary.

Respondent skipped this question

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<b>Q3</b> Overall, do you agree or disagree with [standard 2] and proposed commentary?	Agree THE ACT
Q4 Please provide any comments on [standard 2] and the proposed commentary.	Respondent shipped this question
Page 5	Mr21
Q5 Overall, do you agree or disagree with [standard 3] and proposed commentary?	Agree
<b>Q6</b> Please provide any commentation [standard 3] and the proposed commentary.	Respondent skipped this question

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<b>Q7</b> Overall, do you agree or disagree with [standard 4] and proposed commentary?	Agree
<b>Q8</b> Please provide any comments on [standard 4] and the proposed commentary.	Respondent skipped this question
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**Q9** Overall, do you agree or disagree with [standard **Agree** 5] and proposed commentary?

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**Q10** Please provide any comments on [standard 5] and **Respondent skipped this question** the proposed commentary.

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<b>Q11</b> Overall, do you agree or disagree with [standard 6] and proposed commentary?	Neither agree nor disagree
<b>Q12</b> Please provide any comments on [standard 6] and the proposed commentary.	Respondent skipped this question
Page 9	
<b>Q13</b> Overall, do you agree or disagree with [standard 7] and proposed commentary?	Agree
<b>Q14</b> Please provide any comments on [standard 7] and the proposed commentary.	Respondent showed this prosition
Q13 Overall, do you agree or disagree with [standard 7] and proposed commentary?   Q14 Please provide any comments on [standard 7] and the proposed commentary.   Page 10   Q15 Overall, do you agree or disagree with [standard 8] and proposed commentary?   Q16 Please provide any comments on [standard 8] and the proposed commentary.   Page 12	ADEU TIONS
Q16 Please provide any comments on standard s) and the proposed commentary.	Respondent skipped this question
Page 12	
<b>Q17</b> Overall, do you agree or disagree with [standard 9] and proposed commentary?	Neither agree nor disagree

Q18 Please provide any comments on [standard 9] and the proposed commentary.

Peop e hav ng a m n mum degree and hav ng at east 5-10 years exper ence n re event ndustry and profess ona assoc at ons membersh p shou d have the exempt ons. The r know edge, exper ence and sk s have more competence than the current Leve 5 cert f cate.

This issue should be addressed case to case while granting membership to retain experienced people in the industry.

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**Q19** Overall, do you agree or disagree with [standard **Agree** 10] and proposed commentary?

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Q20 Please provide any comments on [standard 10] and the proposed comentary.

See comment on point 18

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<b>Q21</b> Overall, do you agree or disagree with [standard 11] and proposed commentary?	Agree
Q22 Please provide any comments on [standard 11] and	the proposed commentary.
See comment on Po nt 18	
Page 15	
<b>Q23</b> Overall, do you agree or disagree with [standard 12] and proposed commentary?	Agree
Q24 Please provide any comments on [standard 12] and	the proposed commentary.
See comment on po nt 18.	
It shou d be cons dered	ALL
Q24 Please provide any comments on [standard 12] and   See comment on po nt 18.   It shou d be cons dered   Page 16	Rave
Q25 Is there anything missing from the draft Godes	Unsure
Q26 If you answered yes, what is missing?	Respondent skipped this question
<b>Q27</b> Do you have any feedback on the examples, or suggestions on other examples that should be included in the draft Code?	Respondent skipped this question
Q28 Is there anything else you want to say?	Respondent skipped this question
Page 17	
Q29 Name	
John Varghese Pan rethu	
Q30 Your role or professional title	

RFA, Member of ATAINZ, Assoc ate member of CFA NZ, Member of INFINZ, Member of IMNZ

Q31 Individual or organisational submission	This is an individual submission and not on behalf of an organisation
Q32 If you give financial advice	l am a RFA
Q33 My organisation or I give the following types of advice	Mortgages
Q34 Organisation Name	
Ind go F nanc a Serv ces Ltd	
Q35 Type of organisation	Mortgage broker
Q36 Size of organisation	Small firm (7 10) staff)
Q37 If there are other things we should know about your or your business that would provide context to your answers, please provide details below.	Respondent skipped this question
<b>Q38</b> Please indicate whether your automission contains any information that is confidential or whether you do not wish your name or any other personal information to be included in a summary of submissions.	Respondent skipped this question
Q39 Please provide your contact details (email and/or ph answer. This information would not be released publicly. understand particular points from your submission.	

s 9(2)(a)