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**COMPLETE**

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Page 3

**Q1** Overall, do you agree or disagree with [standard 1] and proposed commentary? **Disagree**

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**Q2** Please provide any comments on [standard 1] and the proposed commentary.

The obligation should be to act in clients "best" interests having regard to clients requirements.

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Page 4

**Q3** Overall, do you agree or disagree with [standard 2] and proposed commentary? **Agree**

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**Q4** Please provide any comments on [standard 2] and the proposed commentary. **Respondent skipped this question**

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Page 5

**Q5** Overall, do you agree or disagree with [standard 3] and proposed commentary? **Agree**

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**Q6** Please provide any comments on [standard 3] and the proposed commentary. **Respondent skipped this question**

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Page 6

**Q7** Overall, do you agree or disagree with [standard 4] and proposed commentary? **Agree**

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**Q8** Please provide any comments on [standard 4] and the proposed commentary. **Respondent skipped this question**

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Page 7

**Q9** Overall, do you agree or disagree with [standard 5] and proposed commentary? **Agree**

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**Q10** Please provide any comments on [standard 5] and the proposed commentary. **Respondent skipped this question**

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Page 8

**Q11** Overall, do you agree or disagree with [standard 6] and proposed commentary? **Agree**

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**Q12** Please provide any comments on [standard 6] and the proposed commentary. **Respondent skipped this question**

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Page 9

**Q13** Overall, do you agree or disagree with [standard 7] and proposed commentary? **Agree**

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**Q14** Please provide any comments on [standard 7] and the proposed commentary. **Respondent skipped this question**

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Page 10

**Q15** Overall, do you agree or disagree with [standard 8] and proposed commentary? **Agree**

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**Q16** Please provide any comments on [standard 8] and the proposed commentary. **Respondent skipped this question**

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Page 12

**Q17** Overall, do you agree or disagree with [standard 9] and proposed commentary? **Disagree**

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**Q18** Please provide any comments on [standard 9] and the proposed commentary.

Given that it is not possible to gain recognition for past learning beyond 10 years. The following qualifications need to be included as "demonstrating the relevant competence"

Diploma in Insurance from Insurance Institute of NZ [IINZ] and

Australia & New Zealand Institute of Insurance & Finance [ANZIIF]

To not recognise these qualifications will see a large number exit the industry which is the exact opposite of the professed aims of the code.

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Page 13

**Q19** Overall, do you agree or disagree with [standard 10] and proposed commentary? **Agree**

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**Q20** Please provide any comments on [standard 10] and the proposed commentary.

To prevent dumbing down then the "points" available need to be authored by approved suppliers of content and not simply left to companies to "manufacture"

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Page 14

**Q21** Overall, do you agree or disagree with [standard 11] and proposed commentary?

Respondent skipped this question

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**Q22** Please provide any comments on [standard 11] and the proposed commentary.

Respondent skipped this question

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Page 15

**Q23** Overall, do you agree or disagree with [standard 12] and proposed commentary?

Disagree

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**Q24** Please provide any comments on [standard 12] and the proposed commentary.

Recognition of other qualifications already gained by industry participants; e.g IINZ and ANZIIF as previously responded.

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Page 16

**Q25** Is there anything missing from the draft Code?

No

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**Q26** If you answered yes, what is missing?

Respondent skipped this question

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**Q27** Do you have any feedback on the examples, or suggestions on other examples that should be included in the draft Code?

Respondent skipped this question

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**Q28** Is there anything else you want to say?

It seems to me that the whole exercise fails to adequately cater for Brokers who are involved in the General Insurance Industry. The qualification requirements are a huge dumbing down of the required skills and knowledge needed to operate as a Fire and General Insurance Broker

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Page 17

**Q29** Name

David Parker

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**Q30** Your role or professional title

Insurance Broker

**Q31** Individual or organisational submission

**This is an individual submission and not on behalf of an organisation**

**Q32** If you give financial advice...

**I am a  
RFA**

**Q33** My organisation or I give the following types of advice...

**Fire and general insurance ,  
Business insurance**

**Q34** Organisation Name

PIC Insurance Brokers Ltd

**Q35** Type of organisation

**Insurance  
broker**

**Q36** Size of organisation

**Large firm (50+  
staff)**

**Q37** If there are other things we should know about you or your business that would provide context to your answers, please provide details below.

**Respondent skipped this question**

**Q38** Please indicate whether your submission contains any information that is confidential or whether you do not wish your name or any other personal information to be included in a summary of submissions.

**Respondent skipped this question**

**Q39** Please provide your contact details (email and/or phone number) This is the only question that requires an answer. This information would not be released publicly. We may get in touch with you in order to help us understand particular points from your submission.

s 9(2)(a)