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COMPLETE

Collector: Web L nk 3 (Web L nk)

Started: Thursday, October 11, 2018 12:52:43 PM Last Modified: Thursday, October 11, 2018 1:00:45 PM

Time Spent: 00:08:01

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Q1 Overall, do you agree or disagree with [standard 1] Respondent skipped this question and proposed commentary?

Q2 Please provide any comments on [standard 1] and the proposed commentary.

Respondent skipped this question

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Q3 Overall, do you agree or disagree with [standard 2] and proposed commentary?

Respondent skipped this question

Q4 Please provide any comments on [standard 2] and the proposed commentary.

Respondent skipped this question

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Q5 Overall, do you agree or disagree with [standard 3] and proposed commentary?

Respondent skipped this question

Q6 Please provide any comments on [standard 3] and the proposed commentary.

Respondent skipped this question

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Q7 Overall, do you agree or disagree with [standard 4] and proposed commentary?

Respondent skipped this question

Q8 Please provide any comments on [standard 4] and the proposed commentary.

Respondent skipped this question

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Q9 Overall, do you agree or disagree with [standard 5] and proposed commentary?

Respondent skipped this question

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Q10 Please provide any comments on [standard 5] and Respondent skipped this question the proposed commentary. Page 8 Q11 Overall, do you agree or disagree with [standard 6] Respondent skipped this question and proposed commentary? Q12 Please provide any comments on [standard 6] and Respondent skipped this question the proposed commentary. Page 9 Q13 Overall, do you agree or disagree with [standard 7] Respondent skipped this question and proposed commentary? Q14 Please provide any comments on [standard 7] and Respondent skipped this question the proposed commentary. Page 10 Q15 Overall, do you agree or disagree with [standard 8] Respondent skipped this question and proposed commentary? Q16 Please provide any comments on [standard 8] and Respondent skipped this question the proposed commentary Page 12 Q17 Overall, do you agree or disagree with [standard 9] Disagree and proposed commentary? Q18 Please provide any comments on [standard 9] and the proposed commentary. So where does previous industry experience count. I have had 33 years banking with 20 years in a Branch manager role with two d fferent banks. have now a so had 2 years exper ence as a Mtge Broker. Never any ssues of comp ants across my career. Looking at this inhave to complete level 5 qualifications same as a new adviser with no previous work / ndustry experience. Doesn't seem right and why would stay in the industry.?

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Q19 Overall, do you agree or disagree with [standard 10] and proposed commentary?

Respondent skipped this question

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| Q20 Please provide any comments on [standard 10] and the proposed comentary. | Respondent skipped this question |
|---|---|
| Page 14 | |
| Q21 Overall, do you agree or disagree with [standard 11] and proposed commentary? | Respondent skipped this question |
| Q22 Please provide any comments on [standard 11] and the proposed commentary. | Respondent skipped this question |
| Page 15 | |
| Q23 Overall, do you agree or disagree with [standard 12] and proposed commentary? | Respondent skipped this question |
| Q24 Please provide any comments on [standard 12] and the proposed commentary. | Respondent skipped this question |
| Page 16 | MILLERA |
| Q25 Is there anything missing from the draft Code? | Respondent skipped this question |
| Q26 If you answered yes, what is missing? | Respondent skipped this question |
| Q27 Do you have any feedback on the examples, or suggestions on other examples that should be included in the draft Code? | Respondent skipped this question |
| Q28 Is there anything else you want to say? | Respondent skipped this question |
| Page 17 | |
| Q29 Name | |
| Chr s M tche | |
| Q30 Your role or professional title | |
| Mortgage Broker / f nnac a adv sor end ng and R sk Ins | |
| Q31 Individual or organisational submission | This is an individual submission and not on behalf of an organisation |

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| Q32 If you give financial advice | I am a RFA |
|---|---|
| Q33 My organisation or I give the following types of advice | Mortgages, Life and/or health insurance |
| Q34 Organisation Name Mortgage Room | |
| Q35 Type of organisation | Mortgage broker |
| Q36 Size of organisation | Respondent skipped this question |
| Q37 If there are other things we should know about you or your business that would provide context to your answers, please provide details below. | |
| Q38 Please indicate whether your submission contains any information that is confidential or whether you do not wish your name or any other personal information to be included in a summary of submissions. | |
| Q39 Please provide your contact details (email and/or phone number) This is the only question that requires an answer. This information would not be released publicly. We may get in touch with you in order to help us understand particular points from your submission. | |

s 9(2)(a)