

#96

INCOMPLETE

Collector: Web Link 3 (Web Link)
Started: Friday, November 09, 2018 2:11:20 PM
Last Modified: Friday, November 09, 2018 2:44:08 PM
Time Spent: 00:32:48

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Q1 Overall, do you agree or disagree with [standard 1] and proposed commentary? **Agree**

Q2 Please provide any comments on [standard 1] and the proposed commentary.

'communicating with clients in a timely, clear and effective manner'
Of course this is always the goal but is a little subjective.

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Q3 Overall, do you agree or disagree with [standard 2] and proposed commentary? **Neither agree nor disagree**

Q4 Please provide any comments on [standard 2] and the proposed commentary. **Respondent skipped this question**

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Q5 Overall, do you agree or disagree with [standard 3] and proposed commentary? **Agree**

Q6 Please provide any comments on [standard 3] and the proposed commentary.

Standard is fine.
There needs to be more education as to what constitutes a conflict of interest and how to manage it for the people selling/advising on a product.

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Q7 Overall, do you agree or disagree with [standard 4] and proposed commentary? **Disagree**

Q8 Please provide any comments on [standard 4] and the proposed commentary.

I don't understand the example. If the new product has similar benefits to the existing one but no comparison is made that increases risk to the client. Why is the client being advised to change to a new policy?

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Q9 Overall, do you agree or disagree with [standard 5] and proposed commentary? **Agree**

Q10 Please provide any comments on [standard 5] and the proposed commentary. **Respondent skipped this question**

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Q11 Overall, do you agree or disagree with [standard 6] and proposed commentary? **Agree**

Q12 Please provide any comments on [standard 6] and the proposed commentary. **Respondent skipped this question**

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Q13 Overall, do you agree or disagree with [standard 7] and proposed commentary? **Agree**

Q14 Please provide any comments on [standard 7] and the proposed commentary. **Respondent skipped this question**

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Q15 Overall, do you agree or disagree with [standard 8] and proposed commentary? **Agree**

Q16 Please provide any comments on [standard 8] and the proposed commentary. **Respondent skipped this question**

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Q17 Overall, do you agree or disagree with [standard 9] and proposed commentary? **Don't know**

Q18 Please provide any comments on [standard 9] and the proposed commentary.

I think a business degree (level 7) should be used as an equivalent qualification for the level 5 cert. From what I have heard of the level 5 cert it seems to be very process focused rather than focusing on the quality of the advice. I don't think this leads to better results for the client.

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Q19 Overall, do you agree or disagree with [standard 10] and proposed commentary? **Agree**

Q20 Please provide any comments on [standard 10] and the proposed commentary. **Respondent skipped this question**

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Q21 Overall, do you agree or disagree with [standard 11] and proposed commentary? **Agree**

Q22 Please provide any comments on [standard 11] and the proposed commentary. **Respondent skipped this question**

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Q23 Overall, do you agree or disagree with [standard 12] and proposed commentary? **Agree**

Q24 Please provide any comments on [standard 12] and the proposed commentary. **Respondent skipped this question**

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Q25 Is there anything missing from the draft Code? **Unsure**

Q26 If you answered yes, what is missing? **Respondent skipped this question**

Q27 Do you have any feedback on the examples, or suggestions on other examples that should be included in the draft Code? **Respondent skipped this question**

Q28 Is there anything else you want to say? **Respondent skipped this question**

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Q29 Name **Respondent skipped this question**

Q30 Your role or professional title **Respondent skipped this question**

Q31 Individual or organisational submission **Respondent skipped this question**

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Q32 If you give financial advice...

Respondent skipped this question

Q33 My organisation or I give the following types of advice...

Respondent skipped this question

Q34 Organisation Name

Respondent skipped this question

Q35 Type of organisation

Respondent skipped this question

Q36 Size of organisation

Respondent skipped this question

Q37 If there are other things we should know about you or your business that would provide context to your answers, please provide details below.

Respondent skipped this question

Q38 Please indicate whether your submission contains any information that is confidential or whether you do not wish your name or any other personal information to be included in a summary of submissions.

Respondent skipped this question

Q39 Please provide your contact details (email and/or phone number) This is the only question that requires an answer. This information would not be released publicly. We may get in touch with you in order to help us understand particular points from your submission.

Respondent skipped this question
