Appendix 4. Summary of the seismic mitigation risk programmes

Jurisdiction	Date started	Programme type	Building type and scope limitations	Number of buildings	Prioritisation	Timeframe for compliance	Standard / criteria used to show compliance	Incremental or phased retrofit encouraged	Financial incentives	Compliance	Comments
Long Beach, CA	1971 with revisions in 1976 and 1990	Mandatory strengthening	URM Pre-1934	936	Grade I – Excessive Hazard (most dangerous - top 10% of the buildings); in addition, buildings with dangerous parapets and appendages were classed as Immediate Hazard; Grade II – High Hazard (more dangerous - the next 30% of the buildings); Grade III – Intermediate Hazard (least dangerous - the remaining 60% of the buildings).	Grade I – repaired immediately or demolished from notice; Grade II – until 1985; Grade III – until 1991	1970 edition of Uniform Building Code (UBC)	In cases of partial retrofit to Grade I and II, the city had discretion to grant a compliance extension until 1991	Special Assessment Bond Loans; The city formed an assessment district composed of URM properties which allowed the city to issue bonds for seismic retrofit financing. The bonds were repaid by the rating assessments that were placed on the owners. Financing was at the prevalent market rate. 137 URM buildings were included in the assessment district	100% by 2007; by 1989 Grade I and II buildings complied with the ordinance (approx. 376 buildings).	After the 1933 Long Beach earthquake, the construction of the URM was prohibited (Riley Act), therefore all URM's in the city are pre-1934. In 1959, the city adopted regulations requiring mitigation of parapets and falling hazards; Highest demolition rate (40% - 372 URMs) attributed to strong enforcement of demolition orders for non- complying owners.

Los Angeles, CA	1981 (Division 88 ordinance)	Mandatory strengthening	URM, Pre-1934 (detached residential buildings with < 5 dwelling units excluded from the ordinance)	9,211	I - Essential; II - High risk (>100 occupants); III - Medium risk (>20 occupants); IV - Low risk (<20 occupants)	Notification: Class I – 0 - 3 months; Class II – 3 – 12 months; Class III – 1 – 3 ¼ years; Class IV – 3 ¼ - 4 years; Compliance: (without anchors) – 3 years from notification; (with anchors) – 1 year to install anchors, full compliance 4-10 years after installation of anchors (depending on class)	Alesch and Petak (1986, p. 79) note that the ordinance imposed 50-70% of the 1980 Los Angeles Building Code requirements for new construction	The city ordinance promoted dualtime phased retrofit. Owners could either strengthen their buildings within 3 years to conform with the ordinance or anchor URM walls within 1 year and depending on building classification were permitted additional 4-10 years for full compliance.	No comprehensive financial incentives; National Development Council (2019) notes that from approx. US\$1.7B spent on URM retrofits, less than 10% came from government funding. Building owners were permitted to pass through 50% of retrofit costs amortised over 120 moths and a cap of \$38 per month to residential tenants. CA state law exempts seismic retrofits from revaluation	As of 2006 (CSSC report): 88% mitigation rate: Retrofitted – 6,146; Demolished – 1942; No progress – 1,123	LA was the first major city to adopt a seismic retrofit ordinance for URMs (the URM Law passed in 1986). Mandatory programmes within the URM law were based on the Division 88 ordinance; the ordinance is also the basis for UCBC Appendix Chapter 1.
The URM Law, CA	1986; Seismic Zone 4	Required 365 local governments to: Inventory URM buildings within each jurisdiction; Establish loss reduction programmes for URM buildings by 1990; Report progress to the CSSC.	URM	25,536	Types of loss reduction programmes implemented locally included: Mandatory strengthening; voluntary strengthening; notification only; other types (variations of other programmes with unique requirements)	Within mandatory programmes, time for compliance were scheduled around the number of occupants. Average timeframe for compliance was 10 years	CA required all jurisdictions to adopt 1997 UCBC Appendix Chapter 1. UCBC standards are intended to significantly reduce but not eliminate the risk to life from collapse. Some retrofitting was performed under local ordinances that preceded the UCBC.	Some ordinances permitted phased retrofits		By 2006, 70% of URMS were retrofitted or demolished – 18,144. Majority of these are in jurisdictions with mandatory programmes – 16,563 (this represents 87% mitigation rate of buildings within mandatory programmes)	Mandatory programmes typically results in higher retrofit rates than other programme types. However, demolition rates are also higher in mandatory programmes (17% vs 8% in voluntary).

San	1992	Mandatory	URM	1,976	Level 1 -	Ranged from 3.5	1991 UCBC	Bolts Plus was	Low interest	As of 2019,	The 1992
Francisco,		strengthening	Pre-1934		Assemblies (>=	to 13 years	Appendix	allowed for	loans: 2.5% for	around 15-20	ordinance
CA					300 occupants),		Chapter 1 with	certain buildings :	retrofits on	buildings	followed the
					>3 stories on		modifications;	<6 stories, w/out	affordable	remained non-	previous Parapet
					poor soil (areas of		allowance of	significant	housing units;	compliant. By	Safety Program of
					poor soil		seismic upgrade	vertical	other URMs	2006, the latest	1975.
					mapped);		to Bolts Plus level	irregularities or	could access	date for	
					Level 2 - Non-		for certain types	weak stories at	loans at 8.5%	compliance (level	It is estimated
					level 1 on poor		of buildings	the ground level,	(interest rate at	4 buildings),	that ~1/4 of
					soil in certain			had qualifying	the time) through	mitigation rate	URMs were
					mapped			cross walls and a	SF voter	was at 86%	retrofitted to
					locations			specified min	authorised	(1,555 retrofits	Bolts Plus
					Level 3 -			areas of solid	issuance of	and 158	standard.
					Buildings in Level			URM wall)	US\$350M in	demolitions). As	Comerio (1994)
					2 mapped areas				bonds (US\$150M	of March 2000,	notes that
					not on poor soils				for low-interest	only 17 market-	"structural
					Level 4 - All other				and US\$200 for	rate loans were	engineers were
					URMs				market-rate loans	issued	not very happy
										(US\$10.4M)	with the outcome
										because private	of this code
										banks started to	[Bolts Plus
										offer loans at	provisions], but
										competitive rates	they did not
											formally oppose
											it".

Palo Alto, CA 1986	Mandatory	URM	47	All hazardous	Notification	1973 UBC for	n/a	Development	As of 2014,	The 47 URMs
	evaluation	except for		URM buildings	within 6 months	voluntary retrofits		incentives (bonus	77% mitigation	were in the
		those smaller			of ordinance; 18			floor areas,	rate:	downtown area
		than 1,900			months from			exemption from	22 retrofitted;	and primarily
		square feet			notification			onsite parking	14 demolished	commercial use.
		or with six (6)			submit			requirements);		In addition to
		or fewer			engineering			capping the floor		URMs, the
		occupants			report identifying			area of new		ordinance
					structural			developments to		classified two
					measures to			the size of the		other types of
					bring to at least			site area (floor		hazardous
					up to the seismic			area ratio 1:1)		buildings:
					standards of the					pre-1935
					1973 UBC;					structures with
					following that					100+ occupants
					notify occupants					(19 buildings);
					in writing and					pre-1976
					submit a letter to					structures with
					the city indicating					300+ occupants
					intentions					(23 buildings); 25
					regarding					buildings in thes
					mitigation of					two categories
					seismic					were retrofitted
					deficiencies 12					or demolished
					months are					(60% mitigation
					engineering study					rate)
					Historic					
					structures were					
					given an					
					additional 18					
					months to					
					comply					

Berkeley, CA 1991	Mandatory	URM,	587	Risk cat I:	Risk category I	Current edition of	Bolts Plus was	Limited financial	By 2004	The programme'
	strengthening	pre-1956		Hospitals, fire	buildings - by	UCBC at the time	allowed for	incentives; tax	compliance was	demolition rate
				and police	March 1, 1997;	of the ordinance	certain buildings:	break on the	at 85%; 2006	was only 1%. It
				offices/stations,	Risk category II	adoption; in 2001	regular (square or	city's real estate	compliance rate –	has been noted
				emergency	buildings - by	the ordinance	rectangular)	transfer tax –	92%; as of	that Berkeley's
				operation	March 1, 1997;	was updated to	simple buildings	commercial	January, 2025,	approach has
				centres, buildings	Risk category III	adopt 1997	which were 1 or 2	buildings	three buildings	been one of the
				housing medical	buildings - by	UCBC Appendix	storeys	excluded;	remain on the	strictest in
				supplies,	June 30, 1997;	Chapter 1		Since 2018 the	current list of	California from
				government	Risk category IV			city offers retrofit	URMs	creating six
				administration	buildings - by			grants:		compliance
				offices, or any	December 31,			design grants (up		categories and
				building with an	1997;			to 75% of design		compliance
				occupancy load of one thousand	Risk category V			costs, max USD		schedules to
					buildings - by			5,000) and		close monitoring
				(1,000) or more. Risk cat II:	December 31, 1998;			construction grants (up to 40%		of compliance where the city
				Commercial	Risk category VI			of construction		enforced
					buildings - by			costs, max USD		regulatory laws
				buildings - Businesses,	December 31,			25,000 – 150,000)		and penalties for
				assembly	2001.			25,000 - 150,000)		non-complying
				buildings,	2001.					property owner
				educational and						The city has be
				institutional						credited for
				occupancies with						investing in
				an occupancy						community
				load of three						resilience and
				hundred (300) or						leading by
				more;						example by
				Residential						rebuilding or
				buildings -						retrofitting ever
				Hotels, motels,						public school,
				apartments or						fire station and
				condominiums						numerous
				containing more						administrative
				than one hundred						buildings.
				(100) living						
				units/bedrooms;						
				Mixed use						
				occupancies -						
				Any building with						
				a combined						
				occupancy load						
				greater than three						
				hundred (300).						
				Risk cat III:						
				Commercial and						
				mixed use – load						
				>100;						
				Residential - >50						
				units.						

T	T	T	T	1	1	1
		Risk cat IV:				
		Commercial and				
		mixed use – load				
		>50;				
		Residential <50				
		units.				
		Risk cat V:				
		Commercial and				
		mixed use – load				
		<50;				
		Residential - <20				
		units.				
		Risk cat VI:				
		Any non-				
		residential				
		building that is				
		used less than				
		twenty (20) hours				
		per week, or any				
		building with a				
		masonry veneer				
		of at least ten				
		(10) feet in height				
		or with a masonry				
		parapet				
		exceeding a one				
		and one-half (1-				
		1/2) ratio or				
		masonry in-fill				
		that is located in				
		a high pedestrian				
		traffic corridor.				
 · · · · · · · · · · · · · · · · · · ·		, ,			•	

Oakland, CA	1990	Other	URM,	1,612	Three priority	Priority 1 – submit	1973 UCBC	Mandatory	Permit fee	As of 2006	URMs upgraded
			pre-1948		levels based on	building permit	Appendix	standard - Bolts	discount, rent	compliance rate	to mandatory
					the type of soil on	for mandatory	Chapter 1	Plus tie roof and	pass through	was 89%:	standard issued a
					which the	standard - 1 year;		floors to exterior	(70% of costs	Mandatory –	"Certificate of
					building is	complete		walls, brace	amortised over 5	1,107;	Compliance of
					located, number	construction – 2		parapets, remove	years);	Voluntary – 222;	the Mandatory
					of stories,	years.		or fix other	URMs retrofitted	Demolition – 106.	Requirements,"
					pedestrian and	Priority 2 – permit		exterior falling	to voluntary	Media reports	but remain on the
					vehicle traffic	2 years; complete		hazards;	standard were	indicated that in	city's list of
					adjacent to the	construction 3		Voluntary	exempt from	2014 around 80-	potentially
					building, use of	years.		standard - UCBC	future retrofits.	90 URMs	hazardous URM
					building, number	Priority 3 – permit		Appendix		remained	buildings. After
					of occupants and	3 years; complete		Chapter 1		unretrofitted	the building has
					complexity of	construction 4				(NDC, 2019).	been upgraded or
					retrofit work	years.					demonstrated to
											be in compliance
											with the
											applicable
											voluntary
											standards the
											building is
											removed from the
											inventory list of
											potentially
											hazardous URM
											buildings.

Los Angeles,	2015	Mandatory	Soft story	~12,500	Priority I -	Priority I – order	The design force	n/a (targeted	Due to the large	As of February,	Ordinance was
CA		strengthening	(Wood frame		buildings	to comply issued	in a given	retrofit to ground	number of	2024 76% of the	adopted
			buildings		containing 16 or	May-July 2016;	direction shall be	floor)	buildings in the	buildings had	following
			with soft,		more dwelling	Priority II - order	75% of the design		inventory,	either completed	recommendation
			weak or open		units.	to comply issued	base shear		implementing	construction or	s in the
			front walls –		Priority II -	October 2016;	specified in the		financial	been demolished	Resilience by
			SWOF),		buildings with	Priority III - order	seismic provision		incentives and	(9,377 –	Design report
			pre-1978		three stories or	to comply issued	of ASCE 7.		subsidies was	complied, 2,970 –	prepared by the
					more, containing	July-November			deemed less	pending	Mayoral Seismic
					fewer than 16	2017.			feasible, leaving	compliance).	Safety Task Force
					dwelling units.	From the receipt			building owners		and presented to
					Priority III -	of the Order to			responsible for		the city in January
					buildings not	Comply, building			covering retrofit		2015.
					falling within the	owners had:			costs.		
					definition of	2 years to submit			To alleviate some		
					Priority I or II.	plans to retrofit or			financial		
						demolish, or			pressures, the		
						proof of previous			city enacted a		
						retrofit;			cost-sharing		
						3.5 years to			ordinance,		
						obtain permit to			allowing property		
						start			owners to pass		
						construction or			through 50% of		
						demolition;			seismic retrofit		
						7 years to			costs to tenants,		
						complete			amortised over		
						construction or			120 months, with		
						demolition			a monthly cap of		
									US\$ 38.		

San	2013	Mandatory	Soft-story;	4,941	Tier I -Any	All tiers submit	Engineering	n/a	As of January	The Community
Francisco,	1	strengthening	wood-frame	","	building	screening form 1	Criteria: A		2025, 94% of	Action Plan for
CA			SWOF		containing	year from	proposed seismic		buildings in	Seismic Safety
			buildings of		educational,	notification;	evaluation and/or		compliance with	(CAPSS), started
			three or more		assembly, or	Submittal of	retrofit plan shall		the ordinance	in the City and
			stories and		residential care	permit	demonstrate that		(4,651 buildings);	County of San
			containing		facility uses	application (from	the building		6% (288	Francisco's
			five or more		Tier II - Any	notice):	satisfies one of		buildings) remain	Department of
			residential		building	Tier I – 2 years;	the following:		non-compliant,	Building
			dwelling		containing 15 or	Tier II – 3 years;	1. FEMA P-807,		most of these are	Inspection
			units where		more dwelling	Tier III – 4 years;	Seismic		in Tier IV which	beginning in
			the permit to		units	Tier IV – 5 years;	Evaluation and		include buildings	1998, was a nine-
			construct		Tier III - Any	Completion of	Retrofit of Multi-		with commercial	year, US\$1M
			was applied		building not	work (from	Unit Wood-Frame		uses on the	study to
			for prior to		falling within	notice):	Buildings With		ground floor. This	understand,
			January 1,		another tier	Tier I – 4 years;	Weak First		is likely due to the	describe, and
			1978		Tier IV - Any	Tier II – 5 years;	Stories with the		complexities of	mitigate the risk
					building	Tier III – 6 years;	performance		retrofitting these	San Francisco
					containing	Tier IV – 7 years;	objective of 50		buildings that	faces to
					ground floor		percent		involve temporary	earthquakes. The
					commercial		maximum		relocation of	report produced
					uses, or any		probability of		tenants and	an extensive
					building in a		exceedance of		requirement to	analysis of
					mapped		Onset of Strength		comply with the	potential
					liquefaction zone		Loss drift limits		Americans with	earthquake
							with a spectral		Disabilities Act	impacts as well
							demand equal to		(ADA) for	as community-
							0.50 SMS, or		buildings with	supported
							2. ASCE 41-13,		commercial	recommendation
							Seismic		uses. It was	s to mitigate
							Evaluation and		reported that	those impacts. In
							Rehabilitation of		finding qualified	Dec 2010 Mayor
							Existing Buildings, with		ADA specialists	Gavin Newsom formed the
							the performance		willing to work on smaller projects	Earthquake
							objective of		has been a	Safety
							Structural Life		significant	Implementation
							Safety in the BSE-		challenge.	Committee
							1E earthquake, or		onattorigo.	(ESIC) under the
							3. ASCE 41-06,			City
							Seismic			Administrator's
							Rehabilitation of			Office, which
							Existing			created the
							Buildings, with			Earthquake
							the performance			Safety
							objective of			Implementation
							Structural Life			Program (ESIP) in
							Safety in the BSE-			late 2011. ESIP is
							1 earthquake with			a thirty-year work
							earthquake loads			plan and timeline
										implementing the

multiplied by 75	CAPSS. The
percent, or	CAPSS 17
4. for evaluation	recommendation
only, ASCE 31-03,	s. The 1 st
Seismic	recommendation
Evaluation of	was to:
Existing	Require the
Buildings. with	evaluation of all
the performance	wood-frame
level of Life	residential builds
Safety, or	of three or more
5. for retrofit only,	stores and five or
2012	more units, and
International	retrofit those that
Existing Building	are vulnerable to
Code (IEBC)	earthquake
Appendix A-4, or	damage.
6. any other	The soft story
rational design	ordinance
basis deemed	followed in 2013.
acceptable by the	
Department that	
meets or exceeds	
the intent of this	
Chapter.	

Berkeley, CA	2005;	2005 – Phase 1:	Soft story;	369	No priority tiers	Phase 1: notices	Potentially	To evaluate the	For owners of soft	As of December	While experience
1	2014	Mandatory	All existing			sent to 321	hazardous SWOF	feasibility of	story buildings	2024, the only	of voluntary
		evaluation and	wood frame			buildings; within	buildings shall be	Phase 2, the city	with 5 or more	remaining non-	programmes in
		voluntary	multi-unit			two years of	retrofitted in	conducted an	residential units,	compliant	jurisdictions
		retrofits;	residential			receiving the	conformance	economic	owners can	buildings were	within the URM
		2014 – Phase 2:	buildings that			notice, the	with one of the	analysis of	receive up to	not on the	law resulted in
		Mandatory	contain five			owners were	following	building owners	US\$5,000 in	original inventory	low retrofit rates,
		strengthening	or more			required to	engineering	to determine	design grant	and were newly	as the result of
			dwelling			submit	criteria:	their financial	(capped at 75%	added (6	the Phase 1 2005
			units, as			engineering	1. 2012 edition of	capacity to fund	of design costs)	buildings). The	mandatory
			defined in			analysis of their	the International	retrofits without	and US\$25,000-	ordinance	screening and
			BMC Title 23,			building, notify	Existing Building	incentives or	150,000 in	resulted in only	evaluation
			and that were			tenants in writing	Code (IEBC)	subsidies. The	construction	one demolished	ordinance, 40%
			designed			of the building	Appendix	estimated retrofit	grant (capped at	building.	of buildings were
			under a			listing on the	Chapter A-4; or	cost was	40% of		retrofitted.
1			building permit			inventory and	2. ASCE 41-06, Seismic	approximately US\$50,000 per	construction		
			applied for			submit a copy of the letter to the	Rehabilitation of	building. The	costs).		
			before			city, and post a	Existing	study found that			
			January 1,			clearly visible	Buildings, using a	most owners			
			1978			earthquake	performance	would be able to			
			1070			warning sign until	objective of S-5	afford retrofits			
						the building is	(Collapse				
						removed from the	Prevention) in the				
						inventory	BSE-C				
						(voluntary	earthquake; or				
						retrofit).	3. ASCE 41-13,				
						Phase 2:	Seismic				
						Mandatory	Evaluation and				
						strengthening	Rehabilitation of				
						complete within	Existing				
						four years from	Buildings, using a				
						2014:	performance				
						apply for a	objective of S-5				
						building permit	(Collapse				
						by December 31,	Prevention) in the				
						2016, and	BSE-2E				
						complete the	Earthquake; or				
						seismic retrofit	4. FEMA P-807,				
						work within two	Seismic				
l						years after	Evaluation and				
						submitting permit	Retrofit of Multi-				
						application by	Unit Wood-Frame				
						December 31,	Buildings With Weak First				
						2018.					
l							Stories, as a pre-				
							approved "substantially				
							equivalent				
							standard" under				
							procedures of				

	CBC Section	
	104.11 for	
	Alternative	
	Materials, Design	
	and Methods of	
	Construction,	
	and with a retrofit	
	objective as	
	established by	
	the Building	
	Official; or	
	5. Subject to the	
	project specific	
	approval by the	
	Building Official,	
	the 2003 edition	
	of the	
	International	
	Existing Building	
	Code (IEBC)	
	Appendix	
	Chapter A-4, for	
	buildings with	
	Seismic	
	Engineering	
	Evaluation	
	Reports	
	submitted prior	
	to January 1,	
	2014, that (i)	
	include structural	
	design	
	calculations and	
	construction	
	documents	
	demonstrating	
	conformance to	
	Chapter A4 of the	
	2003 IEBC; and	
	(ii) are suitable	
	for building	
	permit submittal.	

California	2007-2025	Mandatory	Soft story	28-12,500	Most existing	Completion of	Most common	Not observed,	Retrofit grants	While first	Assembly Bill
Cathorna	2007-2025	strengthening	(wood frame	26-12,500	ordinances	construction	criteria found in	limited	available in some	example of a	304, Chapter 525
		Strengthening	SWOF),								•
			1 ''		prioritise	ranges between	ordinances:	extensions are	jurisdictions.	mandatory	(2005) amended
			pre-1978		buildings into	3-7 years	Structural	available	Common	ordinance was in	Section 19160 of
					tiers based on the		seismic	(typically 6-12	incentives are	2007 in Fremont,	the California's
					number of		evaluation.	months) in case	rent pass-	the major cities	Health and Safety
					residential units		Where	of significant	through,	began	Code authorises
							performed,	financial	reduction in	implementing	"cities and
							seismic	hardship, to	permitting	mandatory	counties to
							evaluation of	prevent or	application fees,	programmes in	address the
							each wood-frame	minimise tenant	property rates	mid-2010's (SF	seismic safety of
							target story shall	displacement, a	freeze,	2013, LA 2015).	soft story
							comply with the	temporary	development	Currently there	residential
							latest edition of	shortage of price	incentives (e.g.	are 14 active	buildings and
							Seismic	increase for	SF planning rules	mandatory	encourage local
							Evaluation and	construction	allow unlimited	programmes.	governments to
							Retrofit of	materials or	number of	Several	initiate efforts to
							Existing Buildings	labour.	Accessory	jurisdictions are	reduce the
							[ASCE/SEI 41]		Dwelling Units	considering soft	seismic risk in
							with a		(ADUs) on	story mandates.	vulnerable soft
							performance		projects		story residential
							objective of		undergoing		buildings."
							Structural Life		Mandatory or		In other words,
							Safety with the		Voluntary seismic		while the state
							BSE-1E hazard or		upgrades		legislature
							Structural		generate		recognises the
							Collapse		additional rental		risks of soft story
							Prevention with		income stream		buildings, local
							the BSE-2E		by converting		mitigation efforts
							hazard, as		some of the		are encouraged
							interpreted by the		ground floor		but no affirmative
							Building Official.		areas)		action is required
							Structural		,		on the part of the
							seismic retrofit.				municipalities
							Seismic retrofit of				(this is in contrast
							each wood-frame				to the 1986 URM
							target story shall				law).
							comply with one				,
							of the following				California's
							criteria.				approach to soft-
							1. Chapter A4 of				story retrofitting
							the California				has evolved
							Existing Building				through regional
							Code, as				influences, with
							interpreted by the				jurisdictions
							Building Official.				often adapting
							2. The latest				and refining
							edition of				ordinances
							Seismic				based on
							Evaluation and				neighbouring
							Retrofit of				cities' policies. A

Existing Buildings	distinct pattern
[ASCE/SEI 41]	emerges between
with a	Northern and
performance	Southern
objective of	California, where
Structural Life	larger cities (LA,
Safety with he	SF) lead in
BSE-1E hazard or	implementing
Structural	seismic
Collapse	resilience
Prevention with	measures,
the BSE-2E	prompting
hazard, as	smaller
interpreted by the	jurisdictions to
Building Official.	follow suit.
3. For subject	
buildings	
qualified as	
historic, alternate	
building	
regulations of the	
California	
Historical	
Building Code.	

Loo Andeles	2015	Mondata	Any syinting	1 104	n/o	From the comite	Dotrofit dosis	Not oppositional im	No inconting	Compliance is at	Dotrofit cost
Los Angeles,	2015	Mandatory	Any existing	1,194	n/a	From the service	Retrofit design	Not specified in	No incentives	Compliance is at	Retrofit cost
CA		strengthening	concrete			of order:	criteria:	the ordinance,	other than	6% (72 buildings)	remains a
			building built			Within 3 years	1. Strength of the	however	commonly		significant
			pursuant to a			submit a	lateral-force	compliance	available in the retrofits of other		impediment to
			permit			checklist;	resisting system	timeframes apply			retrofits. With
			application			within 10 years	shall meet or	from the receipt	building types		evidence from a
			for a new			submit a detailed	exceed 75% of	of the order	(URM and soft		small sample of
			building that			evaluation;	the seismic base	which maybe	story)		completed
			was			within 25 years	shear specified in	sent out in			retrofits under
			submitted			complete	"The Equivalent	stages.			the ordinance, it
			before			construction	Lateral Force				was found that
			January 13,				Procedure" of the				retrofit costs
			1976				current Los				alone range
							Angeles Building				between US\$ 30-
							Code. Elements				50 per sqf,
							not designated to				however when
							be part of the				combined with
							lateral-force				peripheral works
							resisting system				such as partial
							shall be adequate				demolitions,
							for gravity load				building systems
							effects and				upgrade, tenant
							seismic				relocation,
							displacement				interior fitouts,
							due to the full				accessibility etc,
							(100%) of the				the cost of
							design story drift				comprehensive
							specified in the				seismic retrofit is
							current Los				pushed to
							Angeles Building				US\$50-100 per
							Code seismic				sqf. For an
							provisions, or				average 7-story,
							2. Meet or exceed				68,000 sqf
							the requirements				(~6,300 sqm)
							specified for				building in the
							"Basic				programme, total
							Performance				retrofit work can
							Objective for				range from
							Existing				US\$2.1m to
							Buildings" of				US\$6.8m. It was
							ASCE 41, using a				also observed
							Tier 3 procedure				that it was
							and the two level				difficult for
							performance				property owners
							objective for				or developers to
							existing buildings				secure bank
							(BPOE) in Table 2-				lending to fund
							1 of ASCE 41 for				retrofits because
							the applicable				presently the
							risk category, and				retrofitted
							using ground				buildings do not

							motions and procedures established by the Department.				generate increased rents.
West Hollywood, CA	2018	Mandatory strengthening	Any existing concrete building determined by the Building Official to have been built under Building Code standards enacted before the 1979 Uniform Building Code with local amendments	~55	Prioritisation: I – 8 or more stories; II – 3 – 7 stories; III – 2 or less stories	Phase 1: 10 year from notice evaluation and major deficiency retrofit; Phase 2 – 20 years from notice complete full retrofit.	Building Structural Analysis, Design and Evaluation. The building shall meet or exceed the structural performance level for the associate earthquake hazard levels as indicated in Table C based on the Risk Category as defined in ASCE 41	Two phase approach: Phase 1: Engineering report and major deficiency mitigation – within 10 years from notice (major deficiencies include: load path, weak or soft story, vertical irregularity, torsion, captive column); Phase 2: complete retrofit – 20 years from notice (10 additional years from Phase 1)	No specific incentives provided by the city	No compliance data available yet	Residential common interest developments are excluded from the ordinance.
Torrance, CA	2023	Mandatory strengthening	Any existing concrete building determined by the Building Official to have been built under Building Code standards enacted before the 1979 Uniform Building Code with local amendments adopted on April 28, 1981	~50	Prioritisation: Priority I: Buildings with 3 or more stories. Priority II: Buildings with 2 stories and 7 or more units. Priority III: Buildings not included in Priority I & II.	Same as in West Hollywood	Same as in West Hollywood	Same as in West Hollywood	Incentives are being explored	No compliance data available yet	The latest jurisdiction to enact a mandatory retrofit ordinance for older concrete buildings.

Santa	2017	Mandatory	Any concrete	~70	Structural	Building	None specified	Current list of	Building use of
Monica, CA		strengthening	building built		evaluation report	structural		properties	listed properties:
•			under		due in 3 years;	analysis, design		contains 49	Church 1 (2
			building code		Application for	and evaluation.		buildings (~30%	stories)
			standards		building permit	The building shall		compliance rate)	Commercial 27
			enacted		within 4½ years;	meet one of the			(number of
			before		Retrofit must be	following criteria:			stories – 1-21,
			January 11,		completed within	1. Strength of the			mode 8)
			1977.		10 years (2027)	lateral-force			Hotel 5 (number
			1077.		10 yours (2027)	resisting system			of stories 5-15)
						shall meet or			Parking Garage 6
						exceed seventy-			(number of
						five percent			stories 3-7)
						1			Residential 10
						(75%) of the base			
						shear specified in			(number of
						the California			stories 2-17.
						Building Code			mode 6).
						seismic			SM enacted the
						provisions.			most extensive
						Elements not			retrofit ordinance
						designated to be			which identifies
						part of the lateral-			and orders
						force resisting			retrofits for URM
						system shall be			(100 buildings),
						adequate for			concrete tilt-up
						gravity load			(30), soft story
						effects and			(1,700), non-
						seismic			ductile concrete
						displacement			(70) and steel
						due to the full			moment frame
						(100%) of the			buildings (80).
						design story drift			Nearly 2,000
						specified in the			commercial and
						California			multi-family
						Building Code			residential
						seismic			buildings made a
						provisions.			list of sites that
						2. Meet or exceed			need to be
						the requirements			assessed for
						specified for			possible
						"Basic Safety			structural
						Objectives" from			improvement.
						ASCE 41-13 using			
						ground motions			
						and procedures			
						established by			
						the City based on			
		1	1	I		ASCE 41-13.			1

lonon	1005	A at far the	Dro 1001	Dublic	Dublic buildings	The government	Doguirod Coiomia	Not identified	To oncourage	Dublic schools	lonon has made
Japan	1995;	Act for the	Pre-1981	Public	Public buildings;	The government	Required Seismic	Not identified	To encourage	Public schools –	Japan has made
	Revised in	Promotion of		buildings	Large private	sets targets for	Resistance Level		building owners	99% (as of 2021);	significant
	2006 and	Seismic		(government-	buildings with	retrofitting:	Retrofitted		to carry out	Other public	progress in
	2013	Retrofitting of		owned)	public use	75% by 2003;	buildings in Japan		needed retrofit	buildings – 75-	retrofitting public
		Buildings;		~93,000;	(commercial and	90% by 2015	must meet at		measures, Japan	95% (as of 2014);	buildings but
				Private	industrial);		least 80% of the		has implemented		private and
		Mandatory		buildings	Residential		current building		a system of	Earthquake	residential
		evaluation and		(commercial	buildings		code. Public		financial	resistance of pre-	buildings still
		strengthening;		and	(detached		buildings,		incentives that	1981 residential	face challenges
				industrial	dwellings and		evacuation route		divides the cost	buildings:	due to high costs
		Mandatory		with public	apartments)		structures, and		of works between	Detached	and slow
		evaluation and		use) ~80,000;			high-risk zones		the central	dwellings – 3.4m	adoption.
		strengthening:		Residential			require 100% of		government, the	earthquake	
		Public and		buildings			code.		local	resistant, 5.6m	Local authorities
		critical		(detached			Under the 1981		government, and	insufficient	can publish the
		facilities		and			seismic code		the building	earthquake	names of non-
		(government		apartments)			structures should		owners. This has	resistance;	compliant
		offices,		~18.5 million			not collapse		been delivered	apartment	buildings, thus
		schools and					under a JMA		through tax	buildings – 2.7m	pressuring
		universities,					seismic intensity		breaks, loans,	earthquake	owners to retrofit.
		hospitals and					scale 6 upper		and subsidies:	resistant, 1.4m	
		medical					earthquake		Regular subsidy:	insufficient	Rural areas lag
		centres, fire					(approximately		Seismic	earthquake	behind urban
		stations and					Magnitude 7.0–		evaluation –	resistance	areas in terms of
		police stations,					7.5).		33.3% each		earthquake-
		emergency					The standard		central		resistant
		shelters, public					requires that		government,		residential
		transportation					buildings		local		buildings; in rural
		hubs;					withstand both:		government,		areas with high
		Large private					Moderate		building owner;		proportion of
		buildings with					earthquakes		Retrofitting –		aging population
		public use					without structural		11.5% central		retrofit rates were
		(>5,000					damage, and		govt, 11.5% local		below 50% while
		sqm)(shopping					Large		govt; 77%		in many urban
		centres,					earthquakes		building owner;		areas the rate
		supermarkets,					(seismic intensity		Limited-time		exceeds 90%.
		hotels, office					6 or higher)		promotional offer		
		buildings etc);					without collapse,		(to 2018):		
		Buildings along					ensuring		Evaluation – 50%		
		high priority					occupant safety		central govt, 33-		
		routes – local							50% local govt, 0-		
		authorities							17% building		
		have the power							owner;		
		to mandate							Retrofitting –		
		seismic							33.3% central		
		retrofits;							govt, 11.5-33.3%		
		Mandatory							local govt, 33.3-		
		evaluation and							55% building		
		voluntary							owner' for		
		strengthening:							buildings on		
									evacuation		
		l	1	1	L	1		L	JVGGGGGGG		

Residential			routes or	
buildings.			designated as	
			emergency	
			management	
			hubs – 40%	
			central govt,	
			33.3-40% local	
			govt, 26.6-33.3%	
			building owner;	
			Supplementary	
			financial	
			incentives:	
			Tax exemptions,	
			low-interest	
			loans	

Taiwan	2000	Building	Pre-1997	31,146	All pre-1997	3-stage	Structural	Not identified	From 2009 to	Public buildings:	The retrofitting of
		Seismic		Including	public buildings	approach:	analysis of		2022, the	10,143 buildings	public schools
		Assessment		government	pastio saltanigo	Preliminary	school buildings:		government	required	has been a
		and		offices,		assessment;	The screening		funded NTD	retrofitting and	significant
		Strengthening		hospitals,		Detailed	evaluation		128.4 billion	2,445 buildings	priority of the
		Programme		schools and		assessment;	consists of a		(NZ\$6.8b) for	required	central
		(public		other		Retrofit (or	simple "capacity		seismic	demolition;	government.
		buildings)		essential		demolition).	to demand"		assessments and	10,143 buildings	National Centre
		buildings)		service		demodition).	comparison		retrofitting of	required	for Research on
				buildings;		Retrofits were	based on the		schools.	retrofitting and	Earthquake
				This count		prioritised based	ratio of ground		9,550 school	2,445 buildings	Engineering
				includes		on risk	floor column and		buildings were	required	(NCREE) was
				27,741		assessments and	wall areas to		upgraded.	demolition. As a	engaged to
				school		building age.	building total		upgraucu.	result, 9,369	develop
				buildings		building age.	floor area. If the			buildings	technologies
				buildings			screening			completed	(more accurate
							evaluation result			retrofitting (92%)	assessments and
							in a Capacity/			and 2,179	cost-effective
							Demand ratio (Is)			buildings	retrofits) for the
							that exceeds 0.8,			demolished	seismic
							the school			(89%). From	evaluation and
							building is			these statistics,	retrofit of schools
							subjected to a			lilese statistics,	between 1999-
							more detailed				2009.
							analysis: The				2009.
							1				
							detailed analysis procedure -				
							referred to as				
							Taiwan				
							Earthquake				
							Assessment for				
							Structures by				
							Pushover				
							Analysis				
							(TEASPA) is a				
							non-linear static				
							pushover				
							analysis like				
							those used in				
							ATC-40 and				
							ASCE-41. TEASPA				
							calculates the				
							ultimate seismic				
							base shear				
							capacity of the				
							structure and				
							then uses the				
							results to				
							compute the				
							building capacity				
							in terms of peak				

	<u> </u>	T T	1
	ground		
	acceleration (Ap)		
	for comparison to		
	the code derived		
	peak ground		
	acceleration. The		
	analysed school		
	buildings with		
	insufficient		
	strength are		
	tagged for retrofit.		
	A solution is		
	developed to		
	strengthen the		
	building to meet		
	the required		
	demand under		
	the peak ground		
	acceleration.		
	Typical		
	reinforcing		
	schemes include		
	the introduction		
	of new moment		
	frames, shear		
	walls, jacketing of		
	columns or		
	introducing shear		
	panels adjacent		
	to existing		
	columns (Gilsanz		
	et al. 2016)		

Taiwan	2019	Private Building	Pre-1997;	~36,000	Privately-owned	The program	Plan A - Subsidies	As of January	NCREE plays an
- and and	20.0	Seismic Weak	1.10.1007,	00,000	multi-story	offers three	cover up to 45%	2025, 120	important role in
		Story Retrofit			buildings with	distinct plans,	of retrofit costs,	projects have	the oversight and
		Programme;			weak story	each tailored to	capped at NTD	been approved	implementation
		Voluntary			Would ottory	address different	4.5 (~NZ\$240k)	through the	of retrofit
		evaluation and				levels of	million;	programme	programmes in
		retrofit				structural	Plan B - Subsidies	including 20	Taiwan. The
		Totalonic				vulnerabilities.	cover up to 45%	buildings where	centre takes an
						Plan A - Targets	of retrofit costs,	retrofit has been	active role in
						buildings with	capped at NTD	completed or	technology
						soft-story	4.5 million;	under	development,
						weaknesses,	Plan C -	construction, 51	public outreach
						usually caused by	Subsidies are	projects where	and monitoring of
						open ground	capped at NTD	subsidies have	the programme.
						floors used for	500,000	been approved	р 8
						parking or	(~NZ\$27k)	and remaining	Currently, the
						commercial	focusing on	projects in the	Ministry of the
						spaces;	localised repairs	various stages of	Interior and
						Plan B -		design and	NCREE are
						Comprehensive		construction.	actively
						retrofitting to			evaluating the
						ensure buildings			feasibility of
						meet at least			introducing
						80% of modern			mandatory
						seismic code			retrofit
						standards;			requirements for
						Plan C -			private buildings.
						Designed for			The central
						single-ownership			government
						buildings			(Legislative Yuan)
						requiring			received a draft
						localised			proposal titled
						structural repairs			"Seismic
						from earthquake			Assessment and
						damage			Retrofit of
									Existing Buildings
									Promotion Act".
									The act proposes
									a systematic
									approach that
									mandates
									completion of:
									Preliminary
									seismic
									assessment;
									Detailed seismic
									assessment, if
									preliminary
									assessment
									raised concerns;

Istanbul, Turkey	2006	Istanbul Seismic Risk Mitigation and Emergency Preparedness Project	Pre-2000	Public buildings		Initial project secured US\$563m from the World Bank. The funding was available until 2015. The project remains active after securing additional financing from international financial institutions including European Investment Bank, Council of European Development Bank, Islamic Development Bank and German Development	1,624 public buildings (majority schools [1,454] and hospitals [54]) have been retrofitted or demolished; 64 projects are ongoing. 88% of public schools in Istanbul have been retrofitted.	Seismic retrofit design and strengthening, if detailed assessment indicated the need for retrofit. Retrofitting private commercial and residential buildings remains a significant challenge. The government implemented the Law on the Regeneration of Areas Under the Risk of Disaster, no. 6306 in 2012. Known as the Urban Transformation Law, the law introduced the framework for earthquake-focused urban transformation through the rehabilitation,
						European Development Bank, Islamic Development Bank and German Development Bank (KfW). By 2018, the total		introduced the framework for earthquake-focused urban transformation through the rehabilitation, demolition and renewal of areas
						amount of committed financing was in excess of EUR€ 2b		at risk. Limited financial assistance is available to owners of private buildings including low interest loans, tax exemptions and temporary relocation costs.

Mexico	No active					In post-disaster	Following 2017	Most commonly
	retrofit					response,	Mexico City	structural
	programme					rehabilitation and	earthquake, by	retrofits are
	S					reconstruction of	2020, out of	because of
						housing is	11,880 damaged	earthquake
						typically covered	single-family	damage.
						with public funds	masonry houses,	Instances of
						and support from	9,050 were under	proactive retrofit
						private	rehabilitation and	are rare and likely
						foundations.	2,830 were	triggered by
							rebuilt or being	change of use or
							relocated . In	major
							addition, 525	remodelling.
							multi-story	
							residential	
							buildings	
							(containing more	
							than 11,000	
							apartment units)	
							were	
							rehabilitated. The	
							government was	
							able to recover	
							part of the	
							reconstruction	
							costs through	
							densification by	
							increasing the	
							floor area of new	
							builds by 35%.	

Italy	2013	Sismabonus	All residential		The Sismabonus	The incentive is	From 2020	The Sismabonus
			and		programme	capped at EUR€	Sismabonus is a	is repaid over 5
			productive		categorises	96k.	sub-scheme	years in annual
			properties		buildings into	The deduction	within a	instalments as a
			located in		eight seismic risk	rate can range	Superbonus	credit on their tax
			seismic		classes from	from 50% to 85%:	scheme. The	return.
			zones 1, 2		A+ (lowest risk) to	50% deduction	other part of	
			and 3 (zone 4		G (highest risk)	for interventions	Superbonus is a	
			– lowest risk			that do not bring	scheme called	
			– is excluded)			any improvement	Ecobonus aimed	
						in the seismic	at energy efficient	
						class of the	building	
						building subject	improvements.	
						to the work;	Combined,	
						70% deduction	Ecobonus and	
						for interventions	Sismabonus	
						that improve one	cover up to 110%	
						seismic class of	of energy and	
						the building;	seismic retrofit	
						80% deduction	costs.	
						for interventions	No separate	
						that improve two	statistics are	
						seismic classes	reported for each	
						of the building;	sub-scheme. As	
						85% deduction	of 2021, 70,000	
						only for	superbonus	
						condominiums if	application have	
						the interventions	been received at	
						improve two	a cost of EUR	
						seismic classes.	11.9b	