

# TE PŪNAHA HIHIKO: VISION MĀTAURANGA CAPABILITY FUND

# 2023 CALL FOR PROPOSALS

**Te Kāwanatanga o Aotearoa** New Zealand Government



#### Ministry of Business, Innovation and Employment (MBIE) Hīkina Whakatutuki – Lifting to make successful

MBIE develops and delivers policy, services, advice and regulation to support economic growth and the prosperity and wellbeing of New Zealanders.

#### **MORE INFORMATION**

Information, examples and answers to your questions about the topics covered here can be found on our website: **www.mbie.govt.nz** or by calling us free on: **0800 693 778.** 

#### DISCLAIMER

This document is a guide only. It should not be used as a substitute for legislation or legal advice. The Ministry of Business, Innovation and Employment is not responsible for the results of any actions taken on the basis of information in this document, or for any errors or omissions.

#### **COVER IMAGE**

"Te Matau a Māui" – the fish-hook of Māui, is a houra waka (double hulled voyaging canoe) named after the Hawke's Bay area.

#### ONLINE: ISBN 978-1-99-104136-4

**AUGUST 2022** 

#### ©Crown Copyright

The material contained in this report is subject to Crown copyright protection unless otherwise indicated. The Crown copyright protected material may be reproduced free of charge in any format or media without requiring specific permission. This is subject to the material being reproduced accurately and not being used in a derogatory manner or in a misleading context. Where the material is being published or issued to others, the source and copyright status should be acknowledged. The permission to reproduce Crown copyright protected material does not extend to any material in this report that is identified as being the copyright of a third party. Authorisation to reproduce such material should be obtained from the copyright holders.

# **NGĀ KAUPAPA CONTENTS**

MIHI	.3
TE PŪNAHA HIHIKO:   VISION MĀTAURANGA CAPABILITY FUND	.4
KAUPAPA TŪHONO   CONNECT SCHEME	.5
KAUPAPA WHAKANOHO   PLACEMENT SCHEME	. 5
NGĀ TĀHUHU E WHĀ O TE KAUPAPAHERE WAWATA MĀTAURANGA   THE FOUR THEMES OF THE VISION MĀTAURANGA	
TUMANAKOTANGA   INVESTMENT OBJECTIVES	.7
HE AHA NGĀ PŪTEA KEI TE WĀTEA?   WHAT FUNDING IS AVAILABLE?	.8
WHAKARITENGA PŪTEA-TĀPIRI   CO-FUNDING ARRANGEMENT	.8
KO WAI KA ĀHEI KI TE TONO PŪTEA?   WHO CAN APPLY FOR FUNDING?	.9
TE TUKANGA TONO ME TE AROMATAWAI   THE APPLICATION AND ASSESSMENT PROCESS	1
TE WĀTAKA TONO   THE APPLICATION TIMELINE	1
NGĀ RANGI MATUA   KEY DATES	1
ME PĒHEA TAKU TONO PŪTEA?   HOW DO I APPLY FOR FUNDING?	1
NGĀ TOHUTORO MATUA   KEY REFERENCES1	2
NGĀ PAEARU WHIRIWHIRINGA   THE ASSESSMENT CRITERIA1	2
NGĀ TAKE WHAI-TAHARUA   CONFLICTS OF INTEREST1	13
TŪMATAITINGA   PRIVACY	13
TE WHAKATUTUKI I NGA WHAKARITENGA RARAUNGA A TE KĀWANATANGA O AOTEAROA   MEETING THE NEW ZEALAND GOVERNMENT DATA REQUIREMENTS	13
NGĀ WHAKATAUNGA TAHUA, MAHI KIRIMANA   FUNDING DECISIONS AND CONTRACTING	14
TE TUKANGA NGĀ WHAKATAUNGA TAHUA   THE DECISION MAKING PROCESS	4
TE TUKANGA TUKU KIRIMANA   THE CONTRACTING PROCESS1	4
TE UTU UTUNGA ME NGĀ WHAKARITENGA PŪRONGO MŌ NGĀ KAITONO I WAIMARIE   FUNDING PAYMENTS AND REPORTING REQUIREMENTS FOR SUCCESSFUL APPLICANTS	15
HE KŌRERO ANŌ   FURTHER INFORMATION1	15
TĀTAUIRA TONO   PROPOSAL TEMPLATE	16
TE RĒHITA ME TE TUKU TONO I IMS   REGISTERING AND SUBMITTING PROPOSALS IN IMS	27
TE URUNGA KI IMS   ACCESSING IMS	27
TE RĒHITA ME TE TUKU TONO  REGISTERING AND SUBMITTING PROPOSALS	29
TE WHAKAHAERE I NGĀ TONO PŪTEA   ADMINISTERING FUNDING APPLICATIONS	32
ĀWHINATANGA IMS   IMS TIPS	3
NGĂ TURE ME NGĂ HERE E PĂ ANA KI TĒNEI KARANGA MŌ NGĀ TONO   TERMS AND CONDITIONS RELATING TO THIS CALL FOR PROPOSALS	36

Mā te rongo ka mōhio	Through perception comes awareness
Mā te mōhio ka mārama	Through awareness comes understanding
Mā te mārama ka mātau	Through understanding comes knowledge
Mā te mātau ka ora	Through knowledge comes well-being

## MIHI

#### Tēnā koutou

Hīkina Whakatutuki/The Ministry of Business, Innovation and Employment invites applications to be considered for funding from Te Pūnaha Hihiko: Vision Mātauranga Capability Fund.

Te Pūnaha Hihiko: Vision Mātauranga Capability Fund invests in activities that strengthen capability and networks between Māori organisations and the science and innovation system, to deliver benefit to Aotearoa.

This Call for Proposals provides you with information on how to apply to the Fund, how your application will be assessed, what happens if your application is successful, and how to contact us for assistance with the application process.

Ko te tūmanako he āwhina i roto nei. Nā mātou o Hīkina Whakatutuki ki a koutou.

Te Pūnaha means system or group: the connection point; careful consideration

Hihiko means to be inspired, to be switched on and willing

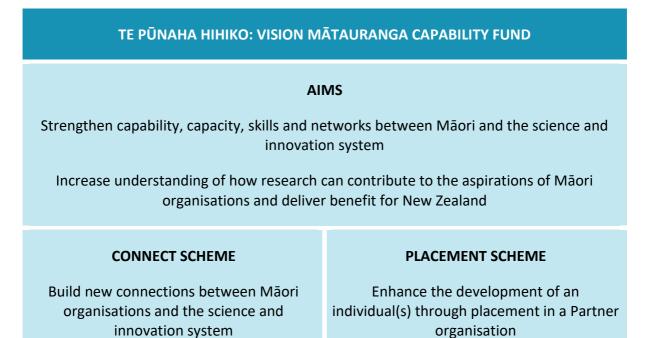
Mātauranga in the Fund's name means knowledge in a broad sense compared to the more specific mātauranga Māori

# TE PŪNAHA HIHIKO: VISION MĀTAURANGA CAPABILITY FUND

We, the Ministry of Business, Innovation and Employment (MBIE), invite proposals to Te Pūnaha Hihiko: Vision Mātauranga Capability Fund (the Fund).

This Call for Proposals provides you with information about how to apply, how your proposal will be assessed, and the contracting process.

We fund Te Pūnaha Hihiko: Vision Mātauranga Capability Fund projects through two investment schemes – **Connect Scheme** and **Placement Scheme**.



## KAUPAPA TŪHONO CONNECT SCHEME

The Connect Scheme invests in work programmes of up to two years that strengthen capability and networks by building new connections between Māori organisations and the science and innovation system. It funds programmes of work which would not ordinarily be achievable in the course of normal business. Through this scheme, Māori organisations and Research organisations/individual researchers will have:

- developed new relationships, or expanded on existing ones
- the confidence to engage across organisations to incorporate the themes and outcomes of Vision Mātauranga into research practice and organisational planning
- developed networks that support each other in their practice, and share knowledge, methodologies, and general support to build strong connections and linkages
- engaged relevant stakeholders and the community/public in work programmes through outreach, communication, and education activities.

#### NGĀ WHAKARITENGA O TE HŌTAKA MAHI WORK PROGRAMME REQUIREMENTS

Work programmes should provide a catalyst for Māori organisations and Research organisations or individual researchers to establish new connections and opportunities to work collaboratively. They should not support or extend existing research projects currently being funded, and/or involve full-time students.

Proposals must be co-developed between the Research organisation/individual researcher and the Māori organisation. A proposal must be accompanied by written endorsement of the proposed work programme from both the Research organisation/individual researcher, and a Māori organisation that will be involved with the proposal.

Example Connect Scheme options include (but are not limited to):

- the development and delivery of innovative initiatives that encourage Māori organisations and the research community to engage effectively
- the formation and support of groups of researchers across organisations to collaborate on Vision Mātauranga capability building initiatives to support research programmes and provide mutual support/mentoring
- fostering and establishing new networks between mātauranga holders or practitioners, and the research community, with a view to exploring and implementing innovative ways to use mātauranga
- mentoring for Research organisation staff members that manage research programmes, with a view to increasing Vision Mātauranga relevance across an organisation's business, capability or science plan beyond business as usual.

## KAUPAPA WHAKANOHO PLACEMENT SCHEME

The Placement Scheme invests in work programmes of up to two years that strengthen capability and networks between Māori organisations and the science and innovation system by enhancing the development of an individual(s) through placement in a Partner organisation. It funds programmes of work which would not ordinarily be achievable in the course of normal business.

The Placement Scheme is for proposals which invest in:

- full or part-time placement(s) of named skilled researcher(s) from a Research organisation or individual researcher(s) into a Māori organisation; or
- full or part-time placement(s) of a named member(s) of a Māori organisation into a Research organisation. This person could hold a role that would benefit from a placement, such as a research manager or a business manager wanting to better understand what research can do for the Māori organisation and to improve knowledge transfer, sharing, uptake, and benefits.

Through this scheme, placement individuals will:

- have greater confidence in working across Māori organisations and Research organisations to create new
  opportunities for research and development programmes and the application of research results
- have built up greater skills, capability, understanding or knowledge of research relevant to Vision Mātauranga outcomes and how research and development can be used to benefit the Māori organisation
- be able to use their skills and capability to identify and support future opportunities to participate in research relevant to Vision Mātauranga outcomes.

#### NGĀ WHAKARITENGA O TE HŌTAKA MAHI WORK PROGRAMME REQUIREMENTS

A placement can include more than one individual. The individual(s) undertaking the placement must carry out a work programme that provides benefits for the research related capability of both the Māori organisation and Research organisation/individual researcher(s). It should not support or extend an existing research project currently being funded, and/or involve full-time students.

The work programme must be co-developed between the Research organisation/individual researcher and the Māori organisation and be endorsed in writing by both the researcher organisation/individual researcher(s) and the Māori organisation.

All organisations involved (including the applicant) must be confident that the individual(s) selected for placement are suitable for the role and will benefit from it. For clarity, the individual(s) involved in the placement do not need to be of Māori ethnicity, although this may be important to the organisations involved depending on the particular focus of the proposal.

Proposals should indicate how the individual(s) were selected and clearly indicate the nature of the anticipated benefits. The organisations involved in the placement should also provide appropriate support to assist the individual(s) to negotiate through any different or new knowledge approaches.

More than one person can be placed into the same organisation, in the same area, for the same purpose, within a single proposal. The proposal should explain why more than one person is required.

Part-time placements are possible. There is no minimum definition of part-time although the number of hours worked must be sufficient to achieve the target outcomes of the Placement Scheme.

A placement must incorporate:

- mentoring arrangements to support the individual(s) delivering the work programme as part of the placement
- regular assessment of support needs (including cultural needs if appropriate), progress, and identification of issues to be actively managed by parties to the placement
- opportunities to engage the relevant stakeholders and the community/public with the programme through appropriate outreach, communication, and education activities.

Example Placement Scheme options include (but are not limited to):

- coaching for either the Māori organisation or individual researcher/Research organisation to identify potential research and development opportunities arising from mātauranga
- identifying possible applications of mātauranga, and assisting the Māori organisation or researcher to develop a plan for its application
- assisting a Māori organisation or individual researcher/Research organisation to identify and realise opportunities using research across relevant sectors including environmental, social, manufacturing and business
- building the number of researchers with capacity and capability to assist with issues and opportunities including, for example:
  - innovative uses of mātauranga to unlock resources on Māori land, and
  - greater connectivity and increased productivity of existing Māori primary sector businesses
- building the capacity of both Research organisations and Māori organisations to develop innovative methods of implementing research outcomes.

## NGĂ TĂHUHU E WHĂ O TE KAUPAPAHERE WAWATA MĂTAURANGA THE FOUR THEMES OF THE VISION MĂTAURANGA POLICY

The purpose of the <u>Vision Mātauranga policy</u> is to provide strategic direction for government's investments in science and innovation, focusing on four themes:

- 1. Indigenous innovation Contributing to economic growth through distinctive science and innovation
- 2. Taiao Achieving environmental sustainability through iwi and hapū relationships with land and sea
- 3. Hauora/Oranga Improving health and social wellbeing
- 4. Mātauranga Exploring indigenous knowledge and science and innovation.

"To unlock the science and innovation potential of Māori knowledge, resources, and people to assist New Zealanders to create a better future"

The Vision Mātauranga mission statement

## TUMANAKOTANGA INVESTMENT OBJECTIVES

The investment objectives for Te Pūnaha Hihiko: Vision Mātauranga Capability Fund are:

- For Māori organisations (research users that identify themselves as Māori), to:
  - build Māori research users' understanding of what research can do for them, including contributing to economic, social and environmental goals
  - increase the uptake and application of research results by Māori research users
  - build research capability, capacity and skills in Māori organisations and new partnerships with Research organisations.
- For individual researchers and Research organisations (including Māori researchers and Research organisations), to:
  - improve their understanding of mātauranga Māori research
  - build research capability relevant to Vision Mātauranga themes and outcomes and new partnerships with Māori organisations.
- For the science and innovation system, to:
  - identify effective ways to transfer knowledge between Maori and researchers
  - increase Māori organisation connections and collaborations with individual researchers and Research
    organisations
  - strengthen and increase networks of researchers, Research organisations and Māori organisations that will
    encourage and support each other
  - explore and develop new opportunities to undertake research that contribute to the Vision Mātauranga policy themes and outcomes.

## HE AHA NGĂ PŪTEA KEI TE WĀTEA? WHAT FUNDING IS AVAILABLE?

Approximately \$2.0 million (*excluding GST*) is available to fund successful new proposals in the Te Pūnaha Hihiko: Vision Mātauranga Capability Fund 2023 investment round.

The total funding available is \$4.0 million (*excluding GST*) per year with the remaining approximate \$2.0 million (*excluding GST*) per year being allocated to existing contracts from the Fund's previous investment rounds.

The work programme term for both Connect and Placement schemes is up to two years. For a project with a work programme of:

- up to one year in length, the maximum funding per successful proposal is \$150,000 (excluding GST)
- between one and two years, the maximum funding per successful proposal is \$250,000 (excluding GST).

It is not a requirement to apply for the full funding available or the full two-year project term.

Project costs must be solely and directly related to the project work programme. Funding can be used to cover costs towards the work programme development, delivery, and operating costs (including travel). Capital expenditure is not funded.

## WHAKARITENGA PŪTEA-TĀPIRI CO-FUNDING ARRANGEMENT

Co- funding is not a requirement for your project. However, if co-funding is provided (by either organisation), please tell us:

- the organisation name,
- whether the co-funding is cash or in-kind, and
- the value per year (*excluding GST*).

Co-funding can be in the form of both cash and in-kind contributions.

- Cash co-funding is cash received from an organisation that contributes directly to your project. This cash:
  - does not include money you may receive after the end date of the project
  - must be essential to the achievement of the work programme and be genuine cash funding for the proposed project
  - is not money from which an income is derived or that can be returned to the funder.
- In-kind co-funding is a non-cash contribution that contributes directly to your project. This may include:
  - the use of equipment, staff time or access to data
  - actual personnel costs incurred by either organisation. For example, staff costs met by either organisation for an individual who has been placed, or for the mentoring or supervision of the individual who has been placed.

## KO WAI KA ĀHEI KI TE TONO PŪTEA? WHO CAN APPLY FOR FUNDING?

For a proposal to be considered for assessment for either the Connect Scheme or Placement Scheme, it must meet the eligibility criteria set out below. Proposals that we consider do not meet these criteria will be declined for funding on eligibility grounds.

To be eligible for funding, proposals must:

1. Be made by a New Zealand based single legal entity that is a Māori organisation, Research organisation or individual researcher.

A **Māori organisation** is defined as an organisation that identifies itself as Māori and uses (or wishes to use) research, science and technology or related activities.

A **Research organisation** is defined as an organisation that has the internal capability to carry out research, science and technology or related activities. Government departments (*as defined in Schedule 2 of the Public Service Act 2020*) are not considered to be Research organisations and are not eligible to apply for this funding.

An **individual researcher** is defined as a person operating on his or her own, and is not employed by a Research organisation, with the capability to carry out research, science and technology or related activities.

2. Include both a Research organisation or individual researcher and a Māori organisation, with one or the other taking the lead as Contracting organisation and the other as Partner organisation.

A relationship must not be solely between the applicant and an organisation that is either a parent entity, subsidiary, or co-subsidiary of another organisation; or between departments within a single organisation (for example, a tertiary institution).

- 3. Be for activities that fit one of the two schemes, and the requirements of one of the two schemes as set out in the Te Pūnaha Hihiko: Vision Mātauranga Capability Fund Investment Plan. For Placement Scheme, a proposal must name the individual(s) who will be the placement person(s).
- 4. Not be for activities for the same purpose already funded by any government agency.
- 5. Not include any full-time tertiary or school students.

Proposals with work programme activities that are eligible for funding from the Ministry of Education, or Unlocking Curious Minds are a poor fit for Te Pūnaha Hihiko: Vision Mātauranga Capability Fund.

6. Address one or more Vision Mātauranga themes, and not solely address hauora/health.

The MBIE-led investments outlined in the Investment Plan and the Health Research Council (HRC) investments are designed to complement each other. To avoid duplication with the investments made by the HRC, MBIE does not provide funding for programmes exclusively relevant to the health/hauora area. Proposals where hauora improvements are part of a much broader suite of outcomes will be considered.

7. Be for activities the majority of which are to be undertaken in New Zealand, unless MBIE considers there are compelling reasons to consider the proposal.

If the majority of the work programme will not be carried out in New Zealand, then explain in your proposal the reasons for this and why they are compelling. If a work programme is to have significant linkages with indigenous knowledge practitioners in other countries, demonstrate how this will be of benefit to New Zealand.

- 8. Not benefit a Russian state institution (including but not limited to support for Russian military or security activity) or an organisation outside government that may be perceived as contributing to the war effort.
- 9. Be submitted via MBIE's Investment Management System (IMS) and meet any applicable timing, formatting, content, or other administrative requirements set out by MBIE.

# TE TUKANGA TONO ME TE AROMATAWAI THE APPLICATION AND ASSESSMENT PROCESS

This section outlines the proposal and assessment process, timeline for proposals, and the funding available for investment.

## TE WĀTAKA TONO THE APPLICATION TIMELINE

The following details the processes in the application timeline.

## NGĀ RANGI MATUA KEY DATES

17 August 2022 until 12 noon, 12 October 2022	Applicants submit a funding proposal
October 2022	We check all proposals against the eligibility criteria
November / December 2022	Assessment of proposals by Assessors
March 2023	Investment funding decisions announced
June 2023	Contracts begin
	All dates are NZ Time

Any change to key dates will be notified via an <u>Alert</u> e-newsletter.

# **ME PĒHEA TAKU TONO PŪTEA?** HOW DO I APPLY FOR FUNDING?

Proposals are entered and submitted in our Investment Management System (IMS) – a secure online portal. If you do not have an IMS login, you will need to request access – see page 27 for guidance. Before developing your proposal, you are encouraged to consider the eligibility criteria, the assessment criteria, and the terms and conditions relating to this Call for Proposals.

The proposal template on page 16 provides guidance on how to prepare your proposal and the information you are required to provide. The te Tuku Tono i IMS Submitting Proposals in IMS section on page 27 provides detailed instruction on how to then enter your proposal into IMS and submit it to us.

The information in your proposal is used for assessment and forms the basis of the contract for successful projects. We recommend you use the template provided to draft the required information in a word processer of your choice and then when ready to submit, copy and paste the necessary segments into the appropriate IMS fields and upload your supporting documentation where directed.

#### **DIVERSITY IN SCIENCE AND RESEARCH**

We are committed to understanding and promoting diversity in Science and Research. Individuals fulfilling key roles in your proposed project will be required to individually register in IMS, and then invited to enter their diversity data. For more information, see our <u>Diversity Statement</u>.

## NGĀ TOHUTORO MATUA KEY REFERENCES

When developing your funding application, we encourage you to consult these following key reference documents:

- Te Pūnaha Hihiko: Vision Mātauranga Capability Fund Investment Plan: Updated for the 2023 investment year, the government's strategy for investment from Te Pūnaha Hihiko.
- The Vision Mātauranga policy outlines the Government's policy framework that aims to unlock the innovation potential of Māori knowledge, resources and people to assist New Zealanders to create a better future.
- Te Pūnaha Hihiko: Vision Mātauranga Capability Fund 2023 Assessment Guidelines.

Access these documents and more on our Te Punaha Hihiko: Vision Matauranga Capability Fund webpages.

## NGĂ PAEARU WHIRIWHIRINGA THE ASSESSMENT CRITERIA

An assessment panel will assess Connect and Placement Scheme proposals against the following criteria. Each criterion has a 25% weighting.

TE PŪNAHA HIHIKO: VISION MĀTAURANGA CAPABILITY FUND PROPOSAL ASSESSMENT CRITERIA			
Excellence	DEVELOPMENT OF PEOPLE, RELATIONSHIPS, AND SKILLS		
	<b>Key Question</b> : To what extent are longer-term skills, capability, networks, relationships, and research opportunities likely to emerge and be sustained from the proposed work programme?		
	ABILITY TO DELIVER		
	<b>Key Question</b> : What is the likelihood that the proposed outputs of the work programme will be achieved?		
Impact	VISION MĀTAURANGA OUTCOMES		
	<b>Key Question:</b> To what extent does the proposal support the Vision Mātauranga policy and support unlocking the science and innovation potential of Māori knowledge, resources, and people for the benefit of New Zealand?		
	BENEFITS TO RESEARCH, SCIENCE, AND TECHNOLOGY		

## NGĀ TAKE WHAI-TAHARUA CONFLICTS OF INTEREST

We will publish the names of Assessors on the <u>Te Pūnaha Hihiko: Vision Mātauranga Capability Fund webpages</u> before your application is assigned to Assessors. If you identify that an Assessor has an actual, potential, or perceived direct or an indirect conflict of interest, you must notify us by emailing <u>vmcf@mbie.govt.nz</u> with the details for further discussion.

Conflicts of interest may occur on two different levels: direct and indirect.

- A direct conflict of interest is where an Assessor is either:
  - directly involved with a proposal (as a participant, manager, mentor, or partner) or has a close personal relationship with the applicant, e.g., family members
  - a collaborator or in some other way involved with an applicant's proposal.
- An indirect conflict of interest is where an Assessor is either:
  - employed by an organisation involved in a proposal but is not part of the applicant's proposal
  - has a personal and/or professional relationship with one of the applicants, e.g., an acquaintance
  - assessing a proposal under discussion that may compete with their business interests.

## **TŪMATAITINGA** PRIVACY

You are responsible for ensuring that all parties mentioned in your proposal:

- have confirmed their personal details and the nature and level of their involvement in the work described in the proposal is correct, and
- are aware of our <u>IMS Privacy Statement</u> that describes how this information will be used and know the process to follow should any changes to this information be required.

## TE WHAKATUTUKI I NGA WHAKARITENGA RARAUNGA **A TE KĀWANATANGA O AOTEAROA** MEETING THE NEW ZEALAND GOVERNMENT DATA REQUIREMENTS

We are committed to ensuring that all science data generated through its investments meets minimum expectations of good data management and public availability. We provide data to the <u>New Zealand Research Information System</u> (NZRIS) about research funding and activity in New Zealand, i.e., what projects are under way, how they are being funded, and what resources are being used. If you receive funding, we encourage you to comply with the <u>New Zealand Government</u> <u>Open Access and Licensing Framework</u>. This framework advocates the use of <u>Creative Commons licences</u>.

Proposals that include environmental science are subject to our <u>Environmental Data Management Policy Statement</u>. If you receive new funding for research that includes environmental science, you may agree to license under a <u>Creative Commons</u> <u>Attribution 3.0 New Zealand licence</u> (CC-BY) copyright works produced.

# NGĀ WHAKATAUNGA TAHUA, MAHI KIRIMANA FUNDING DECISIONS AND CONTRACTING

## TE TUKANGA NGĀ WHAKATAUNGA TAHUA THE DECISION MAKING PROCESS

MBIE's Deputy Secretary, Labour, Science and Enterprise will make the final investment decisions on which proposals will be funded and may:

- set pre-contractual conditions which must be met before the investment is contracted
- set special conditions in addition to the general terms and conditions set out in the Fund's Investment Contract
- vary the proposed term of the project
- vary the funding allocated from that proposed
- require the proposed project plan be negotiated to MBIE's satisfaction to reflect the changed funding
- take into account prior performance in previous VMCF investment contracts. Where an applicant is recommended to
  be funded but has an outstanding reporting requirement, or unmet VMCF contractual obligations, at the time of
  contracting, we may withhold the investment contract until such a time as previous VMCF contracting obligations are
  fulfilled. Please note that the new contract start date could be delayed where earlier VMCF contractual obligations are
  unfulfilled.

We will advise the proposal's primary contact person of the funding decisions in March 2023. The successful applicants will be published on our <u>Te Pūnaha Hihiko: Vision Mātauranga Capability Fund webpages</u> and announced via a press release, or announced by the Ministers for Research, Science, and Innovation and Māori Development.

## TE TUKANGA TUKU KIRIMANA THE CONTRACTING PROCESS

Successful applicants will enter into an investment contract and associated work programme agreement with us (subject to any pre-contractual conditions being met).

This agreement must be signed and returned to us within one month of receipt.

The terms and conditions of the Te Pūnaha Hihiko: Vision Mātauranga Capability Fund Investment Contract will apply to the provision of funding agreed between us and the Contracting organisation. By submitting a proposal, you are agreeing to the terms and conditions of the Te Pūnaha Hihiko: Vision Mātauranga Capability Fund Investment Contract. A sample contract is available on our <u>Te Pūnaha Hihiko: Vision Mātauranga Capability Fund webpages</u>.

## TE UTU UTUNGA ME NGĂ WHAKARITENGA PŪRONGO MŌ NGĂ KAITONO I WAIMARIE FUNDING PAYMENTS AND REPORTING REQUIREMENTS FOR SUCCESSFUL APPLICANTS

The contract holder must manage the funding to ensure delivery of the contracted work programme and adhere to any reporting requirements. Subject to specific contract conditions, MBIE funding will be provided as follows:

- 50% at the start of the work programme,
- 40% at the mid-point (after submission of a progress report to our satisfaction), and
- 10% on completion of the work programme (after submission of a final report to our satisfaction).

The following table provides an overview of the contract reporting requirements for contracts.

REQUIRED REPORTING	DUE DATE	REPORTING PERIOD
Progress Report	One month before the mid-point of the work programme or as otherwise agreed with us	From the start date of the work programme to the last day of the month before the report is due
Final Report	No later than one month after the end date of the work programme	From the start date of the work programme to the end date of the programme

These reports should be prepared jointly and include information provided from both the contract holder and Partner organisation(s), including a co-development letter from the Partner organisation(s) to confirm that the report has been prepared in conjunction with that organisation and that the information contained in the letter is accurate.

The reports should also include information on:

- the status and progress towards delivering on the Impact Statement and Research Aims contracted
- co-funding contributions (if applicable)
- mentoring arrangements (for Placement Scheme only)
- key achievements
- emerging risks and what is being done to address them
- an assessment of whether or not the work programme has or will lead to further Vision Mātauranga opportunities, the project's success in building skills and networks, and the effectiveness of the overall work programme.

Reports will be submitted in IMS and evaluated by us.

See the Te Pūnaha Hihiko: Vision Mātauranga Capability Fund Reporting Guidelines on our <u>Te Pūnaha Hihiko: Vision</u> <u>Mātauranga Capability Fund webpages</u> for more detail.

## HE KÖRERO ANO FURTHER INFORMATION

Email: Application queries: vmcf@mbie.govt.nz

IMS queries: imssupport@mbie.govt.nz

Phone: 0800 693 778 (Monday to Friday, 8:30am to 4:30pm)

You can also <u>subscribe</u> to our Alert e-newsletter.

## TĀTAUIRA TONO PROPOSAL TEMPLATE

This template details the information you should cover in your proposal for Te Pūnaha Hihiko: Vision Mātauranga Capability Fund. This is a guide and is not intended to constrain the information you provide. Use it to help gather the information you need to formulate your proposal.

Ensure your proposal:

- complies with the eligibility criteria outlined in this Call for Proposals
- addresses the assessment criteria outlined in this Call for Proposals
- addresses the aims of the Vision Mātauranga policy to achieve excellent research of relevance and impact to Māori and New Zealand, and where relevant, describes how your work programme will support Vision Mātauranga
- meets all applicable timing, formatting, system, or other similar administrative requirements as noted in this Call for Proposals.

Te Pūnaha Hihiko: Vision Mātauranga Capability Fund 2023 investment round proposals can be submitted to us in IMS from **17 August 2022 until noon, 12 October 2022**.

These dates are subject to change. Any change will be notified via an <u>Alert e-newsletter</u>. See page 27 for more detailed IMS information.

#### TE PŪNAHA HIHIKO: VISION MĀTAURANGA CAPABILITY FUND PROPOSAL TEMPLATE

- Adhere to word limits where noted. Fields with a red (\*) asterisk indicates mandatory information and must be completed before you can save and close your current section. Fields with a black (\*) must be completed before you can submit your application.
- Unless specifically requested, do not include URLs. Information provided by way of URL links to websites will not be considered or assessed. IMS will direct you in how to enter your information and upload supporting information.
- Ensure that your proposal directly addresses the eligibility and assessment criteria and considers the Fund's investment objectives.

SUMMARY	
New Zealand Business Number (NZBN)	Populated from IMS user information. If there is no NZBN shown below please contact <u>IMSsupport@mbie.govt.nz</u> with your number.
Registration number	If you are a Trust, Incorporated Society or Company your registration number will show here, this will be populated from IMS user information. If there is no registration number shown below please contact <u>IMSsupport@mbie.govt.nz</u> with your number.
Total MBIE funding requested	This information is automatically populated from the funding requested subsection under Budget section.
*Project title	Provide a descriptive name for your proposal. Do not use acronyms or abbreviations. Your project title will be used for everyday use and reference.
*Number of years funding requested:	Specify the number of years you are requesting funding (either 1 or 2 years).

- Adhere to word limits where noted. Fields with a red (\*) asterisk indicates mandatory information and must be completed before you can save and close your current section. Fields with a black (\*) must be completed before you can submit your application.
- Unless specifically requested, do not include URLs. Information provided by way of URL links to websites will not be considered or assessed. IMS will direct you in how to enter your information and upload supporting information.
- Ensure that your proposal directly addresses the eligibility and assessment criteria and considers the Fund's investment objectives.

	investment objectives.			
*Start and End dates	Specify the start and end date for the work programme. Work programmes must start on 1 June 2023 and can run for up to 2 years (no later than 31/05/2025).			
*Primary Contact	Provide the name, email address and telephone number of your primary contact person. This contact must have the authority to discuss your proposal with us and will receive MBIE communications and updates.			
*Secondary Contact	Provide a name, email address and telephone number of a second contact person. This secondary contact must differ from the primary.			
ELIGIBILITY				
*Single legal entity	Confirm that Contracting organisation is a New Zealand based single legal entity able to receive and administer funds from us.			
*Research Organisation	Confirm that the Contracting organisation or the Partner organisation(s) is a research organisation or individual researcher.			
*Māori organisation	Confirm that the Contracting organisation or the Partner organisation(s) identify as a Māori organisation.			
*Activity location	Confirm that the majority of activities will be undertaken in New Zealand. If not, provide the reasons why the activities need to occur outside of New Zealand.			
*Government agency funding	Does your proposed work programme contain or overlap with activities already funded by a government agency?			
	If you answered 'yes' you are ineligible to apply for this funding			
	If you are currently awaiting a funding decision from another government agency (for example, the National Science Challenges), provide the details of the funding agency and areas of overlap in the work programmes.			
*Full time	Does your:			
student	<ul> <li>team contain any full-time tertiary students, and/or</li> <li>are your work programme activities targeted towards school students?</li> </ul>			
	If you answered 'yes' you are ineligible to apply for this funding			
*Russian Collaboration	Does your proposal benefit a Russian state institution (including but not limited to support for Russian military or security activity) or an organisation outside government that may be perceived as contributing to the war effort?			
	If you answered 'yes' you are ineligible to apply for this funding			
*Investment	Tell us which scheme you are applying to: either the Placement or Connect Scheme (not both).			
scheme	If you are applying for Placement Scheme funding, you will need to provide the name(s) of the individual(s) who will be the placement and provide their CV(s) with this application in the Project Team section.			

ΤΕ ΡŪΝΑΗΑ ΗΙΗΙ	KO: VISION MĀTAURANGA CAPABILITY FUND PROPOSAL TEMPLATE			
<ul> <li>completed b</li> <li>you can subr</li> <li>Unless specific</li> <li>considered c</li> </ul>	ord limits where noted. Fields with a red (*) asterisk indicates mandatory information and efore you can save and close your current section. Fields with a black (*) must be complet nit your application. fically requested, do not include URLs. Information provided by way of URL links to website or assessed. IMS will direct you in how to enter your information and upload supporting inf your proposal directly addresses the eligibility and assessment criteria and considers the Fu	ed before es will not be formation.		
*Vision Mātauranga themes	<ul> <li>Identify the Vision Mātauranga theme(s) that your proposal addresses:</li> <li>Indigenous Innovation: Contributing to economic growth through distinctive resear development</li> <li>Taiao: Achieving environmental sustainability through iwi and hapū relationships w and sea</li> <li>Hauora/Oranga: Improving health and social wellbeing</li> <li>Mātauranga: Exploring indigenous knowledge and science and innovation.</li> </ul>			
*Proposal co- development				
*Upload signed	Once you have selected the file to upload, click "Save" below, this will upload the docum	ient.		
co- developmentAny document that you upload will automatically be converted to PDF format. Please ope version to check that it is consistent with your original document.		oen the PDF		
	Note that some documents may take a minute to convert.			
ORGANISATION (	CAPABILITY			
*Contracting organisation	Select the classification, category and region for your organisation. If the Contracting organisation is a Research organisation, then the Partner organisation(s) must include a Māori organisation (and vice versa).			
*Partner organisation(s)	Provide the full name of your Partner organisation(s), and the classification, category an each Partner organisation. You may have more than one Partner organisation in your pro A Partner organisation may be a Research organisation, a Māori organisation, or an indiv researcher. If the Contracting organisation is a Research organisation, the Partner organisation(s) mu	oposal. vidual		
	Māori organisation (and vice versa). Describe the partnership, and the contribution from each organisation (for example, access to resources or specialised equipment, mandate from tangata whenua	Word Limit: 300 Words		

access to resources or specialised equipment, mandate from tangata whenua including the scope, Kaupapa statement by the community in terms of tikanga

illustrating how it will keep the mahi safe, and co-funding etc.)

This limit

includes words in a table

- Adhere to word limits where noted. Fields with a red (\*) asterisk indicates mandatory information and must be completed before you can save and close your current section. Fields with a black (\*) must be completed before you can submit your application.
- Unless specifically requested, do not include URLs. Information provided by way of URL links to websites will not be considered or assessed. IMS will direct you in how to enter your information and upload supporting information.
- Ensure that your proposal directly addresses the eligibility and assessment criteria and considers the Fund's investment objectives.

PROPOSAL		
*Iwi affiliation	Tell us the name(s) of the iwi represented in your funding application.	
*Public statement	<ul> <li>Tell us the story of your research in language understandable to the general public.</li> <li>Briefly explain why your research is needed, how you will achieve your objectives, what benefits you expect your research to deliver and who the key beneficiaries of the research are.</li> <li>You may include publishable contact details. Do not include confidential information, references, hyperlinks, images, video, or audio files.</li> <li>If your proposal is funded, your Public Statement will be published on our website and may be used in the public arena by us. By providing this statement you agree to its public release.</li> </ul>	Word Limit: <b>300 Words</b> This limit includes words in a table
*Project summary	<ul> <li>Tell us in detail of the overall objective of your research proposal and how you will achieve it. Include:</li> <li>why your research is needed (the issue or problem you are addressing),</li> <li>what you propose to do (your hypothesis and scientific approach), and</li> <li>what results, impacts or outcomes you expect, how they will be achieved, and who will use or benefit from them.</li> <li>The Project summary is your opportunity to introduce Assessors and us at MBIE to your research. Be specific and clearly articulate your strategy and methodology.</li> <li>Do not include images in your response.</li> </ul>	Word Limit: <b>600 Words</b> This limit includes words in a table
*Assessment criteria	<ul> <li>*Development of people, relationships, and skills (25%)</li> <li>Key Question: To what extent are longer-term skills, capability, networks, relationships and research opportunities likely to emerge and be sustained from the proposed work programme?</li> <li>Assessors will be considering the following: <ul> <li>how does your proposal go beyond 'business as usual' for the organisations involved?</li> <li>how does your proposal lead to the formation of capability, networks, and relationships, for example the formation of a new partnership between a Māori organisation and a Research organisation?</li> <li>to what extent does your work programme substantially develop capability and skill of the individuals involved?</li> </ul> </li> <li>how does this new partnership lead to a long-term collaboration and benefits beyond the term of the proposed work programme?</li> </ul>	Word Limit: <b>300 Words</b> This limit includes words in a table, references, and citations

- Adhere to word limits where noted. Fields with a red (\*) asterisk indicates mandatory information and must be completed before you can save and close your current section. Fields with a black (\*) must be completed before you can submit your application.
- Unless specifically requested, do not include URLs. Information provided by way of URL links to websites will not be considered or assessed. IMS will direct you in how to enter your information and upload supporting information.
- Ensure that your proposal directly addresses the eligibility and assessment criteria and considers the Fund's investment objectives.

<ul> <li>*Ability to deliver (25%)</li> <li>Key Question: What is the likelihood that the proposed outputs of the work programme will be achieved?</li> <li>Assessors will be considering the following: <ul> <li>does the team have the appropriate mandate to conduct this work?</li> <li>are all involved parties appropriately resourced and supported?</li> <li>does your plan for carrying out the proposed work programme achieve the intended outcomes and capability development?</li> <li>how does the calibre, experience, and skills of the team relate to the subject area(s) proposed for the work programme?</li> <li>is your work programme appropriately resourced, including management, mentoring and support arrangements?</li> <li>have you identified challenges to delivery and provided a mitigation plan?</li> </ul> </li> <li>Do not include images in your response.</li> </ul>	Word Limit: <b>300 Words</b> This limit includes words in a table, references, and citations
<ul> <li>*Vision Mātauranga outcomes (25%)</li> <li>Key Question: To what extent does the proposal support the Vision Mātauranga policy and support unlocking the science and innovation potential of Māori knowledge, resources and people for the benefit of New Zealand?</li> <li>Assessors will be considering the following: <ul> <li>do the expected benefits align with the aspirations of the Māori organisation?</li> <li>will the capability and leadership of Māori researchers be developed?</li> <li>will the project outcomes be disseminated to participating or wider Māori groups?</li> <li>how does your proposal address the Vision Mātauranga theme(s) selected?</li> <li>will your proposal integrate science and technology and mātauranga Māori?</li> <li>will your proposal result in 'distinctive' or 'innovative' deliverables?</li> </ul> </li> <li>Do not include images in your response.</li> </ul>	Word Limit: <b>300 Words</b> This limit includes words in a table, references, and citations

- Adhere to word limits where noted. Fields with a red (\*) asterisk indicates mandatory information and must be completed before you can save and close your current section. Fields with a black (\*) must be completed before you can submit your application.
- Unless specifically requested, do not include URLs. Information provided by way of URL links to websites will not be considered or assessed. IMS will direct you in how to enter your information and upload supporting information.
- Ensure that your proposal directly addresses the eligibility and assessment criteria and considers the Fund's investment objectives.

	<ul> <li>*Benefits to research, science, and technology (25%)</li> <li>Key Question: How will the increased capability, capacity, skills, and networks benefit the Research, Science and Technology (RS&amp;T) sector, and achieve the objectives and outcomes of the Vision Mātauranga policy?</li> <li>Assessors will be considering the following: <ul> <li>does your proposal increase skills, capacity, capability, and networks between Māori and the science and innovation system?</li> <li>will your project increase understanding of how RS&amp;T can contribute to the aspirations of Māori organisations?</li> <li>will your project generate future RS&amp;T relevant to the Vision Mātauranga policy?</li> </ul> </li> </ul>	Word Limit: <b>300 Words</b> This limit includes words in a table, references, and citations
*Work programme	<ul> <li>The work programme sets out the proposed work to be carried out over the term of the will show how well you have planned your project and is used by us to monitor achiever project deliverables during the assessment of your progress and final reports.</li> <li>Comprising of an Impact Statement and Research Aims, your work programme should reelements and milestones of the project, their sequencing and timing.</li> <li>The Impact Statement is a description of the high-level impacts or outcomes of the programme. Your work programme must have one Impact Statement.</li> <li>Research Aims are measurable objectives, such as key steps, stages or milestones rachieve the Impact Statement. Research Aims must be measurable, defined events of progress. They should have delivery dates throughout the term of the project rabeing delivered on the end date.</li> <li>Structure your work programme as follows.</li> </ul>	eflect the key e work equired to s, not levels
	<ul> <li>For the Impact Statement provide:</li> <li>A descriptive title</li> <li>A detailed description</li> <li>The start and end dates. These dates should match with the start and end of contract.</li> <li>One or more Research Aims.</li> <li>For each Research Aim provide:</li> <li>A descriptive title</li> <li>A detailed description</li> <li>The start and end dates. End dates should be spread across the work progra not all end on the end date of the contract. The end dates should not go bey end date of the Impact Statement.</li> </ul>	mme and

TE PŪNAHA HIHI	(O: VISION MĀTAURANGA CAPABILITY FUND PROPOSAL TEMPLATE		
<ul> <li>Information Required:</li> <li>Adhere to word limits where noted. Fields with a red (*) asterisk indicates mandatory information and must be completed before you can save and close your current section. Fields with a black (*) must be completed before you can submit your application.</li> <li>Unless specifically requested, do not include URLs. Information provided by way of URL links to websites will not be considered or assessed. IMS will direct you in how to enter your information and upload supporting information.</li> <li>Ensure that your proposal directly addresses the eligibility and assessment criteria and considers the Fund's investment objectives.</li> </ul>			
*Post – contract outcomes	<ul> <li>Describe the expected long-term benefits of the work programme, and how these relate to your organisation:</li> <li>one year after the programme's end date</li> <li>two years after the programme's end date</li> <li>five years after the programme's end date.</li> <li>Do not include images in your response.</li> </ul>	Word Limit: 300 Words This limit includes words in a table	
PAST PROPOSALS			
*Past Te Pūnaha Hihiko: Vision Mātauranga Capability Fund proposals	<ul> <li>Tell us if either the Contracting organisation or Partner organisation(s) have been successful in any previous Te Pūnaha Hihiko: Vision Mātauranga Capability Fund investment rounds.</li> <li>If no previous Te Pūnaha Hihiko: Vision Mātauranga Capability Fund funding has been received, select "Not Applicable".</li> <li>If previous funding has been received, tell us the total number of successful Te Pūnaha Hihiko: Vision Mātauranga Capability Fund proposals from your combined organisations. And for each proposal tell us:</li> <li>the contract ID,</li> <li>the relevance of the previous project to the current proposal,</li> <li>Te Pūnaha Hihiko: Vision Mātauranga Capability Fund funding round (year), and</li> <li>the focus of the project and its outcome.</li> <li>If the total number of successful applications from your combined organisations is greater than five, only include information for the five proposals most relevant and related to this proposal.</li> </ul>	Word Limit: <b>300 Words</b> This limit includes words in a table and references	
TEAM			
*Project Team	ject Team Using the following table as a guide, detail the key personnel that will be involved <u>in each year</u> of funding, and provide Full Time Equivalents (FTEs) and supporting CVs. Tell us about your project team's:		

Tell us about your project team's:

- Skills and experience with similar projects.
- Ability to connect with the target audience.

- Adhere to word limits where noted. Fields with a red (\*) asterisk indicates mandatory information and must be completed before you can save and close your current section. Fields with a black (\*) must be completed before you can submit your application.
- Unless specifically requested, do not include URLs. Information provided by way of URL links to websites will not be considered or assessed. IMS will direct you in how to enter your information and upload supporting information.
- Ensure that your proposal directly addresses the eligibility and assessment criteria and considers the Fund's investment objectives.

Role	Full Name	<sup>1</sup> CV	FTE	Email Address	Invited to register for and/or enter ORCiD iDs	<sup>2</sup> Invited to register and ente Diversit
*Key researcher	Mandatory	Yes	Mandatory	Mandatory	Yes	Yes
Key individual	Mandatory	Yes	Mandatory	Mandatory	Yes	Yes
*Placement	Mandatory	Yes	Mandatory	Mandatory	Yes	Yes
Other	Optional	No	Mandatory	Not required	No	No
Note the mandatory Placement (if applyi need to include a Pl	ng for the Pla	cement Sche		-		
Key Role Descriptio	ns:					
Key researcher: tea he project, they ma Researcher must be Key individual: an ir	ay be from eit named.	her the contr	acting or part	ner organisat	ion(s). At leas	t one Key
nanager/leader, co		-		, ,		
Placement: full or p researcher, into a N			.,	m a Research	organisation	or individı
Other: a significant	role that does	not fit the a	bove categori	es.		
<sup>L</sup> CV:						
<ul> <li>For Team mem appropriately, expertise and r</li> <li>CVs do not hav (RS&amp;I) CV temp</li> </ul>	such as kaum elevant previ e to comply v	ātua or māta ous experien	uranga holdei ce.	rs, provide ins	stead a summa	ary of thei
FTE:						
<ul> <li>The time comn hours worked I</li> <li>If the total FTE programme wi</li> </ul>	oy an employe of the contra	ee on a full-ti ct is less thar	me basis.		• •	

- Adhere to word limits where noted. Fields with a red (\*) asterisk indicates mandatory information and must be completed before you can save and close your current section. Fields with a black (\*) must be completed before you can submit your application.
- Unless specifically requested, do not include URLs. Information provided by way of URL links to websites will not be considered or assessed. IMS will direct you in how to enter your information and upload supporting information.
- Ensure that your proposal directly addresses the eligibility and assessment criteria and considers the Fund's investment objectives.

investment o	objectives.	
investment o	<ul> <li>Invite to register for and/or enter ORCiD iDs:         <ul> <li>All Key researchers, Key individuals and Placements must be invited to register for</li> <li>Registration itself is not mandatory. If team members already have ORCiD iDs, they required to register again.</li> <li>More information about ORCiD iDs and how we use ORCiD iDs is available on the <u>Newbpage</u>.</li> </ul> </li> <li><sup>2</sup>Invite to register and enter Diversity data:         <ul> <li>As part of our diversity policy, all Key researchers, Key individuals and Placements of invited to register separately in the IMS Portal and enter their diversity data as par profile.</li> <li>You can trigger a registration invitation to be sent to your team members when en project team information during the application phase. Once registered they will remail with information on how to then enter and manage their profile. For more in</li> </ul></li></ul>	will not be <u>ABIE</u> will be t of their tering your eceive an
	refer to a <u>one page guide</u> which is also available on our <u>IMS webpage</u> . We will not fund salaries of individuals employed by local or central government but ma costs associated with their involvement.	ay fund other
*Placement Scheme mentoring arrangements	<ul> <li>Applicable to Placement Scheme proposals only.</li> <li>provide the name(s) of the placement individual(s) and placement individual(s) organisation</li> <li>provide the name(s) and organisation(s) of the individual(s) who will mentor the placement.</li> <li>Describe the mentoring arrangements that will be used to support the individual(s) delivering the work programme as part of the placement.</li> </ul>	Word Limit: <b>300 Words</b> This limit includes words in a table and references
BUDGET		
*Total MBIE Funding requested	<ul> <li>Specify the total funding requested from us (<i>excluding GST</i>).</li> <li>For a proposal with a work programme of: <ul> <li>up to one year in length, the maximum funding per successful proposal is \$150,000 GST)</li> <li>between one and two years, the maximum funding per successful proposal is \$250 (<i>excluding GST</i>).</li> </ul> </li> </ul>	
Р		

- Adhere to word limits where noted. Fields with a red (\*) asterisk indicates mandatory information and must be completed before you can save and close your current section. Fields with a black (\*) must be completed before you can submit your application.
- Unless specifically requested, do not include URLs. Information provided by way of URL links to websites will not be considered or assessed. IMS will direct you in how to enter your information and upload supporting information.
- Ensure that your proposal directly addresses the eligibility and assessment criteria and considers the Fund's investment objectives.

Co-funding	<ul> <li>If co-funding is provided (by either organisation), please tell us:</li> <li>the organisation name</li> <li>whether the co-funding is cash or in-kind</li> <li>the value per year (<i>excluding GST</i>).</li> </ul>								
Subcontracting	For each year of funding, list the names of the subcontractors from other organisations that you may engage to complete your proposed research and the amount they will be paid ( <i>excluding GST</i> ).								
<ul> <li>*Budget</li> <li>Using the following table as a guide, provide us with your project's budget for each project Show clearly how the requested MBIE funding and any co-funding will be used to cover you costs. Please ensure you complete the budget for all project years:</li> <li>Sample Budget Table Use this table to prepare and structure your budget. All values show GST.</li> </ul>									
	Budget Item	Itemised breakdown		Sub Totals (excl. GST)	Grand Total				
					0.00				
	Income	Requested Funding	0.00	0.00					
		Co-funding	0.00						
	Expenses			0.00					
		Personnel costs	0.00						
		<sup>1</sup> Travel (e.g., flights and rental cars etc.)	0.00						
		Overheads	0.00						
		Consumables (e.g., printing, stationary, equipment purchase/hire)	0.00						
		Subcontracting	0.00						
	of the contract ninus expendit	ure (expenses).							
OTHER INFORMA	TION								
*Intellectual property management plan	If your work programme's research activity is likely to generate intellectual property, provide details of your intellectual property management plan. If your proposal will not result in intellectual property, state "Not Applicable". For more information refer to the intellectual property protection information on <u>business.govt.nz</u> .								

- Adhere to word limits where noted. Fields with a red (\*) asterisk indicates mandatory information and must be completed before you can save and close your current section. Fields with a black (\*) must be completed before you can submit your application.
- Unless specifically requested, do not include URLs. Information provided by way of URL links to websites will not be considered or assessed. IMS will direct you in how to enter your information and upload supporting information.
- Ensure that your proposal directly addresses the eligibility and assessment criteria and considers the Fund's investment objectives.

*Profiling	Please confirm that your application makes a significant contribution to Māori research and innovation. Please indicate what percentage the proposal makes to a significant contribution to Māori research and innovation (total must equal 100%).							
	Not specifically relevant to Māori and not involving Māori 0%							
	0%							
	Research involving Māori	0%	]					
	Māori centred research	0%	]					
	Kaupapa Māori research							
	Total	100%	]					
Conflicts of interest	Declare any potential conflicts of interest and say why. This may include possible conflicts with Assessors or a part of MBIE you are engaged with.							
*Special ethical	Are any ethical and/or regulatory approvals needed to conduct the proposed work	?						
and regulatory	If yes, have these been sought or obtained and why are they required?							
requirements	If no ethical or regulatory requirements or approvals apply to your proposed research simply state "Not Applicable".							
If approvals have not yet been obtained, tell us how and when you expect to do so.								
You are responsible for meeting all ethical and regulatory requirements relating to your research. Any costs associated with fulfilling these requirements should be included in y (as "Other expenditure"). If delays occur due to failure to gain or initiate the necessary a funding may be withheld, withdrawn or renegotiated.								

# TE RĒHITA ME TE TUKU TONO I IMS REGISTERING AND SUBMITTING PROPOSALS IN IMS

Proposals applying for funding from Te Pūnaha Hihiko: Vision Mātauranga Capability Fund 2023 investment round are entered and submitted in our Investment Management System (IMS) – a secure online portal.

Proposals can be submitted to us in IMS from **17 August 2022 until 12 noon, 12 October 2022**. These dates are subject to change. Any change will be notified via an <u>Alert e-newsletter</u>.

A proposal is considered submitted if it has a status of **Submitted to IMS** in IMS. If your proposal is being submitted by a university or a Crown Research Institute, see your research office for additional submission advice.

For all IMS queries, e-mail imssupport@mbie.govt.nz or call 0800 693 778 (Monday to Friday, 8:30am to 4:30pm).

## TE URUNGA KI IMS ACCESSING IMS

#### Logging in to IMS

Five or more failed log in attempts will automatically lock you out of the system. If this occurs, contact MBIE and ask for your account to be unlocked.

#### For first time users:

If you have not used IMS before, complete and email an <u>IMS access request form to imssupport@mbie.govt.nz</u>. On acceptance, you will receive a username and temporary password.

- 1. Click the Portal URL link in your welcome email.
- 2. Type your username and temporary password (as detailed in your registration email). The IMS Edit password tab displays.
- 3. Type your new password.
- 4. Retype your new password in the Confirm new password field.
- 5. Click the Save Changes button. A Portal Access Agreement displays.
- 6. Read the access agreement and then click the Accept button. The IMS Home tab displays.

#### For existing users:

For returning applicants, log in using your existing username and password. If you've forgotten this, use the **I've forgotten my password** option.

- 1. Click the MBIE IMS Portal link (ims.msi.govt.nz/).
- 2. Type your username and password.
- 3. Click the Login Securely button. The IMS Home tab displays.

**Logging out of IMS,** click the **Logout** hyperlink (*located top right of every tab*).

#### **USER ACCESS ROLES**

What you can do and see in IMS is dependent on your access. There are two user roles.

Role	Function
User	<ul> <li>See and/or work on funding applications to which they are authorised.</li> <li>Submit completed registrations/applications/proposals to your Super User quality assurance (QA).</li> </ul>
Super User	<ul> <li>Perform all the functions of a regular user.</li> <li>Manage IMS access; add, delete or modify the users in their organisation and changing the views and applications they can see.</li> <li>QA and submit completed applications to MBIE.</li> </ul>

#### **NAVIGATING IMS**

A A A MINISTRY OF BUSINESS.		Test Person   Long		
MINISTRY OF BUSINESS, INNOVATION & EMPLOYMENT Interval Versionary Press	nn Project Uci	Search project list		
You are bene Home				
Applications menu				
Manage Users				
	Hello User			
	Welcome to IMS (investment Management System). When you're securely logged in you can;			
	<ul> <li>Visit the MBIE or Callaghan Innovation website to access information on your funding process*</li> <li>Apply for funding</li> </ul>			
	- Manage the end to end process of your application(s)			
	* Select the relevant website depending on the fund you are applying for.			
	Name: Person E-Mail Address: username@xtra.co.nz			
	Address:			
	Edit my account details			
	Change passward			
seantly viewed. provementation (Contractor				
	Analy Breech			
	Copyright DDDB, Ministry of Business, individual and Employment			

From the IMS Home tab you can:

- Manage access for your organisation's personnel.
- Maintain your personal details, including your IMS password.
- Access your Project List (containing the funding applications for your organisation).

#### **CUSTOMISING YOUR VIEW**

The IMS **Project List** tab lists the applications submitted by your organisation. Here you open and view the details of all applications and contracts to which you have access. You can filter and sort this list to view only those of interest and customise the columns to show only the information required.

						Test Person   Logour
					Search project I	P te
		Home	voject List			Advanced Search
	are here: Project List					
Ap	ply filter Reset filter Export					
	Reference	Agency Contract	Status @	Assigned to	Status updated date	Team @
0	REG-50337-ENDRP	MBIE	In progress		22/09/2016	Contestable Investments
0	REG-50336-ENDRP	MBIE	In progress		22/09/2016	Contestable Investments
0	REG-50335-ENDRP	MBIE	In progress		22/09/2016	Contestable Investments
0	REG-50234-ENDSI	MBIE	Registered		22/09/2016	Contestable

You can also determine the number of applications that display on each screen using the **Display** field (located bottom left of the screen). Note that you only see information to which you have permission.

## TE **RĒHITA** ME TE TUKU TONO REGISTERING AND SUBMITTING PROPOSALS

You can enter and submit a proposal in IMS between 17 August 2022 and 12 noon, 12 October 2022.

If your registration and proposal is being submitted on your behalf by a university or a Crown Research Institute, see your research office for additional submission advice.

Complete all the required fields. Mandatory fields are marked with an asterisk (\*).

Ensure all sections are completed and meet the requirements as per this Call for Proposals.

#### To register/submit a proposal in IMS

- 1. On the IMS Home tab, click the Project List tab.
- 2. Click the **New Application** button (located to the right of the screen).

	Home Project List	
You are here: Project List		
Apply filter Reset filter E	ort	New applic

- 3. On the resulting screen, select 2023 Vision Mātauranga Capability Fund from the Investment Process field.
- 4. Enter the title of your project into the Full title field and then click the Create button.

Do not use acronyms or abbreviations. Your short title will be used for everyday use and reference.

5. The first section of the application you need to complete displays. IMS will guide you through the completion of the required fields. We recommend that you familiarise yourself with the system well before the deadline. When complete, click the **Save & next section** button to move to the next section.

	Home Project List						
You are here: Poped Lot + (MBIL) PROP							
Proposal	Project status: in progress						
Manage this application	Summary						
Summary	Summary information						
© Summary	Investment area:		2023 Vit	ion Mätauranga Capability Fund			
Eligibility	Contracting organisation: Postal address		Courier address				
Organisation capability Contracting organisation Partner organisation(s) teri attization							
Proposi Policies summary Project summary Assessment ciferia Work programme	New Zealand Business Number (NZBN):		If there is no NZBN shown below plea	sse contact IMSsupport@mble.govt.nz with your number.			
Post-contract outcomes	Registration number:		If you are a Trust, incorporated Society or Company your registration number will show here. If there is no registration number shown below please contact IMSsupport@mble.govt.nz with your number.				
Past proposals Past Te Pünsha Hihiko: Vhion Mätauranga Capability Fund proposals							
Team	Total MBIE funding requested:		This information is automatically populated from the funding requested subsection under Budget section.				
Project team Placement Scheme mentoring arrangements			\$0.00 G3T excl. amount:	50.00 GST amount	\$0.00 Total amount		
Budget Transfing requested Contract MBIE Funding requested Co-funding Subcontracting	Project title:		Provide a descriptive name for your p Do not use acronyms or abbreviation	proposal. s. Your project this will be used for everyday use and reference	R.		
- Budget	Number of years funding requested:		Specify the number of years you are requesting funding (either 1 or 2 years).				
Other information			Please select				
Intellectual property management plan Profiling	Start and end dates:	-	Specify the start and end date for the work programme. Work programmes must start on 1 June 2023 and can run for up to 2 years (no later than 31/05/2025).				
Conflicts of Interest Special ethical and regulatory requirements				01/06/2023	Service Forence (1)		
obcon constants of formal technicians	Primary Contact		Provide the name, email address and MBIE communications and updates.	telephone number of your primary contact person. This cont	act must have the authority to discuss the proposal with us and will receive		
			Use lookup button to select/odd your	contact.			

The sections to complete are listed in the left hand navigation panel. You can click these to access the section directly or move through the sections sequentially by completing them and clicking the **Save & next section** button.

A warning will display if you attempt to leave the current section without saving your information. Choose to either:

- click the Leave this Page button to exit the section without saving (all changes made during that session will be lost), or
- return and continue to add information or click the Save & next section button.

You can copy and paste your prepared information directly into the IMS fields.

Where directed upload documents containing your references/citations. All forms of documents (*e.g., Excel, Word and PDF*) can be uploaded. If a PDF, ensure that it is unsecured. If secured, we will not be able to access or print your proposal. Image files cannot be uploaded.

You can save, log out, and return to IMS to edit your application before submitting it.

Mandatory fields are marked with an asterisk \*.

Fields with a red \* must be completed before you can save and close the current section.

Fields with a black \* must be completed before you can submit your application. We recommend that you regularly click the **Save** button to save work as you are going.

Symbols alongside each section in the navigation panel allow you to easily check the completeness of your submission.

THE SYMBOL.	INDICATES THE SECTION
0	has not started.
0	is in progress.
0	contains invalid or incomplete fields.
	is complete and valid.

- 6. When all sections are complete, scroll to the top of navigation panel and click the Submit my application link.
- 7. Click the Check my application before submission button to run a validation check. This will verify the
  - completeness of your application and will detect any missing content.

	nome Project List
You are here: Project List > (MBIE) PROP-	
Proposal	Project status: In progress Ø Error(s) during validation
Manage this application	
Submit my application	Submit my application
Summary	
🙁 Summary	
Eligibility	Proposal validation result: Unsuccessful
O Eligibility	Please correct the errors below.
Organisation capability	
S Contracting organisation	Summary
Partner organisation(s)	
😒 Iwi affiliation	Summary
Proposal	Please enter the Secondary "Contact email"
O Public statement	Please enter the Secondary "Contact telephone"
O Project summary	Please select a secondary contact from the lookup.
😵 Assessment criteria	Please enter the Primary "Contact email"
O Work programme	Please select a primary contact from the lookup.
8 Post-contract outcomes	Please enter the Primary "Contact telephone"
Past proposals	Please enter a valid End Date; End Date must be a valid date, and must be after the start date.
Past Te Pünaha Hihiko: Vision Mätauranga Capability Fund proposals	s Please select "Number of years funding requested:"
Team	
O Project team	Proposal
Placement Scheme mentoring arrangements	
Budget	Public statement
Total MBIE Funding requested	Please enter the ""
Co-funding	
Subcontracting	Project summary
Sudget	Please enter the "
Other information	
O Intellectual property management plan	Post-contract outcomes
Profiling	Please enter the "Post-contract outcomes one year after the programme's end date
Conflicts of interest	(100 words)"
Special ethical and regulatory requirements	Please enter the "Post-contract outcomes two years after the programme's end date (100 words) "
	Please enter the "Post-contract outcomes five years after the programme's end date (100 words) "

- If the validation is successful, every section in the navigation panel is marked with the symbol
- If errors and/or incomplete fields are detected:
  - These will display as light blue coloured error message hyperlinks in the Proposal validation result section.
  - A Symbol will display next to the sections in navigation panel that are incomplete or contain errors.

Click the first error message to open the section, make the required corrections/additions and then click the **Save** button. If applicable, repeat for other sections marked with  $\stackrel{\bullet}{•}$ .

Once you have corrected all of the errors and/or added all missing information, click the **Submit my application** link then the **Check my application before submission** button again.

On achieving a successful validation click the **Submit to QA** button (Users) or the **Submit to IMS** button (Super Users).

Visu are here! Troject Lon (MBIE) PROP			
Proposal	Project status: In progress		8
Manage this application			- Non
C Submit my application	Submit my application		
Summary Summary			
Eligibility	Available actions Manage users for this application		
Organisation capability Contracting organisation Partied organisation(s) (wij effiliation	wanning wars we the application Print/preview this application Check my application hetere salarisiston		
Proposal Public statement Project summary Assessment criteria	Seturit to INI More actions To add users with the "Manage users for this application" button, the person must first be granted the role of user by	see of their conscisation's Superform	
Work programme     Post-contract outcomes	Share application before it is submitted @	an an ann a fheanna a fheanna a	
Pest proposals Part Te Ponana Hiblin: Vision Mätsuranga Capability Fund proposals Team Project team Recencent Scheme mentoring antagements		e Monday to Priday, from 8 30km to 4 30pm.	
Budget Total MBIE Funding requested	This portal works best with either Mozilla Firefox or Google Chrome. If you experience any issues please save your application of the same save your application of the save your application of the same save your application of the save your application of the same save your application of the save your appl	ion and log-on using a different browser.	
Co-funding	Application timelines: People		
Subcontracting Budget	Phase	Date	
Other information	Applications close 12 noon	12 October 2022	
Uner innormation Indifferent amperty nanagement plan Profiling Conflicts of interest Special rehitikal and regulatory requirements	Find out more about applying for investment. Additional angle Capability $\ell$ unit Addition and assessment information for the vision Million Malay angle Capability $\ell$ unit		
	Next section		

8. Read and accept the declaration.

If you clicked **Submit to IMS** your application has been successfully submitted and is now listed on the **Project List** tab with a status of **Submitted to IMS**. If you clicked **Submit to QA** you will need to ensure that your Super User performs their QA and submits your application before the deadline.

## TE WHAKAHAERE I NGĀ TONO PŪTEA ADMINISTERING FUNDING APPLICATIONS

#### CHECKING THE PROGRESS OF YOUR APPLICATION

There are three key features in IMS that enable you to quickly see where you are at in the application process.

- 1. The status of your current application.
- 2. The completeness of your application.
- 3. The number of days until the submission is due.

#### CHECKING THE STATUS OF YOUR APPLICATION

The status of your current application displays in the **Project** List and at the top of key IMS tabs.

The status	Indicates your application
In progress	Has been created and is under completion.
Submitted for QA	Has been completed and submitted to a super-user for QA.
Submitted to IMS	Has been submitted to MBIE.
Registered	Has been registered.
Not Progressing	Has been withdrawn.
Not submitted	Has not been submitted.

	If you miss the cut-off-date for submitting your application, we can make the application 'Not Submitted'. You will be able to view the application and/or delete it. You will not be able to edit it or submit the application.
Ineligible	Does not meet the criteria of the investment process applied for. The application cannot be edited or resubmitted.
Declined	Has been declined for funding. The application cannot be edited or resubmitted.
Approved	Has been approved. You can proceed to the next stage in the contracting process. You will not be able to edit the application.

#### CHECKING WHEN YOUR APPLICATION IS DUE

The status bar at the top of the screen displays when the application must be submitted.



#### WITHDRAWING A FUNDING APPLICATION

You can withdraw your application at any time before it has been submitted.

A withdrawn application cannot be edited or resubmitted. It remains in your organisation's project list with a status of **Not Progressing** and can be viewed and printed.

If you wish to withdraw a submitted application, contact the Investment Operations team.

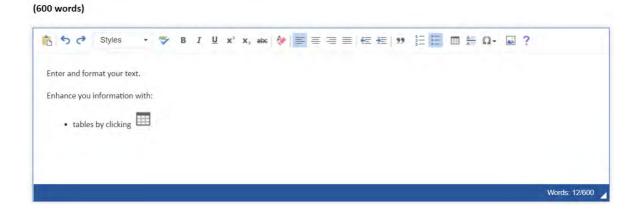
#### To withdraw an un-submitted application

- 1. Locate and open the application.
- 2. Click the more actions link.
- 3. Click the Make not progressing button

## **ĀWHINATANGA IMS** IMS TIPS

A few common actions and commands you may find useful when using IMS.

#### FORMATTING TEXT



Use the text panel toolbar to format your information and if applicable add images, hyperlinks and/or tables. Adhere to the word limits specified. These limits include words in a table and references/citations, they do not include images. To help you there is an automatic word count tracker at the bottom right-hand corner of every text panel.

- Use Plain Text when copying and pasting into IMS.
- Use subheadings to highlight your key messages.
- Avoid large blocks of text. White space enhances the visual impact and makes reading easier.
- Use images sparingly. Make them count by extending not just illustrating your word count.

#### **UPDATING YOUR DETAILS**

🕨 То	view	and	/or u	pdate	your	details
------	------	-----	-------	-------	------	---------

- 1. Click the Edit my account details button on the Home tab.
- 2. Update your details as required and click Save.

**To change your password,** click the change password button on the **Home** tab.

#### MAINTAINING YOUR ORGANISATION'S CONTACT DETAILS

On person fields, use the **Lookup** button to locate and auto complete fields with the details of individuals in your organisation as well as add and maintain these details.

	interior of the state of the year	organisation to s	earch for. You may enter both part and r	nonspie keywords
Person: joe blogg:				
Organisati				
o Baulaati	ont			
Search	Reset			
Search	Reset			
				A
Sourch L person f				A
		Firstname	Organisation	Ad
	ound.	Firstname Joe	Organisation Bloggs Research Lir use palete sold	
L person f	ound. Lastnàme			

#### To locate

- 1. Enter the person's name and click the **Search** button.
- 2. Locate them in the list and click the **Use** button.

#### To add

- 1. Click the Add button.
- 2. Enter the contact details and click the Save & Use button.

#### To modify

- 1. Select the person and click the **Edit** button.
- 2. Edit the details and click the Save & Use button.

**To delete,** select the person and click the **Delete** button.

# NGĀ TURE ME NGĀ HERE E PĀ ANA KI TĒNEI KARANGA MŌ NGĀ TONO TERMS AND CONDITIONS RELATING TO THIS CALL FOR PROPOSALS

By submitting an application, you are agreeing to these terms and conditions. Neither this Call for Proposals, nor any contract resulting from the invitation process is intended to create a partnership agreement between MBIE and the Applicant.

You must submit your proposal by 12 noon, 12 October 2022, or as notified through MBIE Alerts.

#### 1. General

1.1 The terms and conditions are non-negotiable and do not require a response. Each applicant that submits a proposal (hereafter referred to as "you") will be deemed to have agreed to the Call for Proposals terms and conditions without reservation or variation.

#### 2. Investigations and reliance on information

2.1 You must examine this Call for Proposals and any documents referenced by this Call for Proposals and carry out all necessary investigations before submitting a proposal. If you are in doubt as to the meaning of any part of this Call for Proposals, you must set out in your proposal the interpretation and any assumptions you used.

2.2 MBIE will not be liable (in contract or tort, including negligence, or otherwise) to anyone who relies on any information provided by or on behalf of MBIE in or in connection with this Call for Proposals.

#### 3. Reliance by applicants

3.1 All information contained in this Call for Proposals or given to you by MBIE is for the purpose of allowing you to prepare your proposal. MBIE has endeavoured to ensure the integrity of such information. However, it has not been independently verified and may not be up to date.

#### 4. Reliance by MBIE

4.1 MBIE may rely upon all statements you make in your proposal and in correspondence or negotiations with MBIE or its representatives. If a proposal is funded by MBIE, any such statements may be included in a Work Programme Agreement.
4.2 You must ensure all information you provide to MBIE is complete and accurate. MBIE is under no obligation to check any proposal for errors, omissions, or inaccuracies. You must notify MBIE promptly upon becoming aware of any errors, omissions, or inaccuracies in your proposal or in any additional information you provide.

#### 5. Inducements

5.1 You must not directly or indirectly provide any form of inducement or reward to any assessment panel member, officer, employee, advisor, or other representative of MBIE in connection with this Call for Proposals.

5.2 Business-as-usual communications (relating to funding under existing arrangements between MBIE and your organisation) will be maintained with the usual contacts. However, during the Call for Proposal process, you must not use business-as-usual contacts to solicit or discuss details of this Call for Proposals or any application you have, or intend to, submit, with any person at MBIE or its agents, including the assessment panel members.

#### 6. Ownership and intellectual property

6.1 This Call for Proposals and any other documents MBIE provides to you remain the property of MBIE. All copyright and other rights in this Call for Proposals and in any other documentation or information provided to you or any other person by or on behalf of MBIE in connection with this Call for Proposals will remain with, and belong at all times to, MBIE or its licensors.

6.2 MBIE may request at any time the immediate return of all documents supplied and any copies made of them. You must comply with any such request in a timely manner.

6.3 Any proposals or information you supply to MBIE will become the property of MBIE and may not be returned to you. Ownership of the Intellectual Property rights in a proposal does not pass to MBIE. However, in submitting a proposal, you grant MBIE a non-exclusive, non-transferable, perpetual licence to retain, use, disclose, and copy your proposal for any purpose related to this Call for Proposals process.

6.4 By submitting a proposal, you warrant that the provision to MBIE of the information contained in your proposal, and MBIE's use of it for the evaluation of your proposal and for any resulting negotiation, will not breach any third-party intellectual property rights.

#### 7. Confidentiality

7.1 You and MBIE will each take reasonable steps to protect Confidential Information and, subject to paragraph 7.3, and without limiting any confidentiality undertaking agreed between them, will not disclose Confidential Information to a third party without the other's prior written consent.

7.2 You and MBIE may each disclose Confidential Information to any person who is directly involved in the Call for Proposals process on its behalf, such as officers, employees, consultants, contractors, professional advisors, evaluation panel members, partners, principals or directors, but only for the purpose of participating in the Call for Proposals.

7.3 You acknowledge that MBIE's obligations under paragraph 7.1 are subject to requirements imposed by the Official Information Act 1982 (OIA), the Privacy Act 2020, parliamentary and constitutional convention and any other obligations imposed by law. Where MBIE receives an OIA request that relates to your Confidential Information, MBIE will consult with you and may ask you to explain why the information is considered by you to be confidential or commercially sensitive.

#### 8. The proposal process

- 8.1 Despite any other provision in this Call for Proposals, MBIE reserves the following rights:
- MBIE may amend, suspend, cancel and/or re-issue the Call for Proposals or any part of the Call for Proposals.
- MBIE may make any material change to the Call for Proposals (including any date) on the condition that you are given a
  reasonable time within which to respond to the change.
- In exceptional circumstances, MBIE may accept a late proposal where it considers that there is no material prejudice to other applicants.
- MBIE may waive irregularities or requirements in or during the Call for Proposals process where it considers it appropriate and reasonable to do so.
- Your proposal may not be approved for funding.
- All or any proposal(s) may be rejected.
- Your proposal may be accepted in whole, or in part.
- Any information you provide to MBIE with your proposal may be retained or destroyed.
- Clarification may be sought from any applicant(s) in relation to any matter in connection with the Call for Proposals process.
- Any applicant(s) may be contacted, which may be to the exclusion of any other applicant(s), at any time before or after the approval (if any) of proposal(s).
- MBIE may reject, or not consider further, any documentation related to your proposal that may be received from you, unless it is specifically requested.
- This Call for Proposals process may be run in such manner as MBIE may see fit.

#### 9. No contractual obligations created

9.1 No contract or other legal obligations arise between you and MBIE out of or in relation to this Call for Proposals or Call for Proposals process, until a formal written contract (if any) is signed by both you and MBIE.

9.2 This Call for Proposals do not constitute an offer by MBIE to provide funding or enter into any agreement with you. The call for and receipt of proposals does not imply any obligation on MBIE to contract any funding requested in your proposal. MBIE will not be bound in any way until a contract is executed.

9.3 MBIE makes no representations nor gives any warranties in this Call for Proposals.

9.4 Any verbal communications made during the Call for Proposals process will not be binding on MBIE and are subject to the terms of this Call for Proposals.

#### 10. No process contract

10.1 Despite any other provision in this Call for Proposals or any other document relating to this Call for Proposals, the issue of this Call for Proposals does not legally oblige or otherwise commit MBIE to proceed with or follow the process outlined in this Call for Proposals or to assess your proposal or enter into any negotiations or contractual arrangements with you.
10.2 For the avoidance of doubt, this Call for Proposals process does not give rise to a process contract.

#### 11. Exclusion of liability

11.1 Neither MBIE nor any assessment panel members, officers, employees, advisers or other representatives will be liable (in contract or tort, including negligence, or otherwise) for any direct or indirect damage, expense, loss or cost (including legal costs) incurred or suffered by you, your affiliates or any other person in connection with the this Call for Proposals process, including without limitation:

- the assessment process
- the preparation of any proposal
- any investigations of or by any applicant
- concluding any contract
- the acceptance or rejection of any proposal
- the suspension or cancellation of the process contemplated in this Call for Proposals, or
- any information given or not given to any applicant(s).

11.2 By participating in this Call for Proposals process, you waive any rights you may have to make any claim against MBIE. To the extent that legal relations between MBIE and you cannot be excluded as a matter of law, the liability of MBIE is limited to \$1.
11.3 Nothing contained or implied in or arising out of this Call for Proposals or any other communications to any applicant shall be construed as legal, financial, or other advice of any kind.

#### 12. Costs and expenses

12.1 MBIE is not responsible for any costs or expenses incurred by you in the preparation of an application.

#### 13. Governing law and jurisdiction

13.1 This Call for Proposals will be construed according to, and governed by, New Zealand law and you agree to submit to the exclusive jurisdiction of New Zealand courts in any dispute concerning this Call for Proposals or any proposal.

#### 14. Disclosure of information

14.1 MBIE may make public the following information:

- the name of the Contractor;
- the name of the Māori and/or Partner Organisation;
- the name of any other organisations involved in a Work Programme, for example, collaborators;
- the Contract ID;
- the title of the Work Programme;
- the public statement set out in the Work Programme Agreement;
- that the Work Programme is funded from the Vision Mātauranga Capability Fund;
- the total amount of funding paid to the Contractor in the current financial year and previous years;
- the total amount of Funding payable to the Contractor over the duration of each Work Programme Agreement;
- the year Funding was approved in respect of each Work Programme; and
- the period of time for which Funding will be provided in respect of each Work Programme.

14.2 MBIE asks that you don't release any media statement or other information relating to the process outlined in this Call for Proposals, or the submission or approval of any proposal to any public medium without providing sufficient advance Notice to MBIE.

# **RESS, INNOVATION & EMPLOYMENT JBLISHED BY THE MINISTRY OF**

FOR MORE INFORMATION VISIT US ONLINE AT WWW. MBIE. GOVT.NZ