

Levy class	Type of levy (fixed levy or tiers)	2020/21 levy (excluding GST)
Class 1 New financial service provider (FSP) registrations	Fixed levy	\$480
Class 2 Registered FSPs that are registered banks or licensed NBDTs	Total assets exceed \$50 billion	\$900,000
	Total assets exceed \$10 billion but not \$50 billion	\$240,000
	Total assets exceed \$2 billion but not \$10 billion	\$72,600
	Total assets exceed \$1 billion but not \$2 billion	\$37,000
	Total assets exceed \$500 million but not \$1 billion	\$13,300
	Total assets exceed \$40 million but not \$500 million	\$8,400
	Total assets do not exceed \$40 million	\$2,500
Class 3 Registered FSPs that are licensed insurers	Annual gross premium revenue exceeds \$500 million	\$300,000
	Annual gross premium revenue exceeds \$100 million but not \$500 million	\$70,000
	Annual gross premium revenue exceeds \$50 million but not \$100 million	\$41,000
	Annual gross premium revenue exceeds \$10 million but not \$50 million	\$16,000
	Annual gross premium revenue does not exceed \$10 million	\$4,200
Class 4 Registered FSPs that are supervisors licensed in respect of the supervision of debt securities and	Total supervised interests exceed \$5 billion	\$200,000
	Total supervised interests exceed \$1 billion but not \$5 billion	\$90,000

managed investment products in registered schemes	Total supervised interests exceed \$100 million but not \$1 billion	\$35,000
	Total supervised interests do not exceed \$100 million	\$9,200
Class 5 Registered FSPs that are managers	Total managed assets exceed \$10 billion	\$460,000
	Total managed assets exceed \$5 billion but not \$10 billion	\$320,000
	Total managed assets exceed \$2 billion but not \$5 billion	\$160,000
	Total managed assets exceed \$1 billion but not \$2 billion	\$100,000
	Total managed assets exceed \$500 million but not \$1 billion	\$58,000
	Total managed assets exceed \$100 million but not \$500 million	\$26,500
	Total managed assets exceed \$20 million but not \$100 million	\$7,200
	Total managed assets do not exceed \$20 million	\$1,700
Class 6 Registered FSPs where	(a) the person is an entity authorised to undertake trading activities on licensed markets	\$6,600
	(b) the person is a contributory mortgage broker	\$2,700

One of the following amounts (being whichever applicable amount is the greatest):	(c) the person is registered for the financial service described in section 5(1)(k) of the FSP Act, other than— (i) persons included in class 6A, 6B, 6C, or 6D; and (ii) DIMS wholesale providers	\$8,000
	(d) the person holds a market services licence that covers the service of acting as a derivatives issuer	\$13,500
	(e) authorised financial advisers	\$380
Class 6A Registered FSPs that are DIMS retail providers	Funds under management exceed \$2 billion	\$57,000
	Funds under management exceed \$500 million but not \$2 billion	\$24,000
	Funds under management exceed \$100 million but not \$500 million	\$8,100
	Funds under management exceed \$50 million but not \$100 million	\$3,900
	Funds under management do not exceed \$50 million	\$1,600
Class 6B Registered FSPs that are providers of a regulated client money or property service other than persons in class 6(a) or 6C	Fixed levy	\$2,800
Class 6C Registered FSPs that are custodians and persons providing custodial services	Fixed levy	\$9,100

Class 6D Registered FSPs that provide a crowd funding service or a peer-to-peer lending service	Fixed levy	\$2,900
New class 6E Registered FSPs that are licensed to provide the licensed market service of acting as an administrator of a financial benchmark	Fixed levy	\$17,500
Class 7 Registered FSPs that are not included in any of classes 2 to 6D	Fixed levy	\$540
Class 8 Listed issuers other than persons included in class 8A	Fixed levy plus any debt collection costs incurred in relation to the persons	\$3,600
New class 8A Small listed issuers	Fixed levy plus any debt collection costs incurred in relation to the persons	\$900
Class 9 Persons that lodge a PDS	All except for PDS of a managed fund	\$3,500
	Per fund, multi-fund investment option, or life-cycle stage covered by a PDS, in the case of a managed fund	\$700
Class 10 Licensed market operators	Fixed levy plus any debt collection costs incurred in relation to the persons	\$48,000
New class 10A Growth market operators	Fixed levy plus any debt collection costs incurred in relation to the persons	\$7,000
Class 11 FMC reporting entity	Fixed levy	\$60

Class 12 Accredited bodies	Fixed levy per specified licence	\$4,000
Class 13 Overseas auditors holding a specified licence	Fixed levy plus any debt collection costs incurred in relation to the persons	\$4,400
Class 14 Persons that apply for registration or incorporation	The Building Societies Act 1965	\$17.39
	The Companies Act 1993	\$17.39
	The Friendly Societies And Credit Unions Act 1982	\$17.39
	The Limited Partnerships Act 2008	\$17.39
Class 15 Persons that are registered or incorporated, and make an annual return	The Building Societies Act 1965	\$17.39
	The Companies Act 1993	\$17.39
	The Friendly Societies And Credit Unions Act 1982	\$17.39
	The Limited Partnerships Act 2008	\$17.39