NCP Annual Reporting Questionnaire 2021

A. Contact information

Pieas	e indicate your country:
0	Argentina
0	Australia
0	Austria
0	Belgium
0	Brazil
0	Canada
0	Chile
0	Colombia
0	Costa Rica
0	Croatia
0	Czech Republic
0	Denmark
0	Egypt
0	Estonia
0	Finland
0	France
0	Germany
0	Greece
0	Hungary
0	Iceland
0	Ireland
0	Israel
0	Italy
0	Japan
0	Jordan
0	Kazakhstan

0	Korea
0	Latvia
0	Lithuania
0	Luxembourg
0	Mexico
0	Morocco
0	Netherlands
®	New Zealand
0	Norway
0	Peru
0	Poland
0	Portugal
0	Romania
0	Slovak Republic
0	Slovenia
0	Spain
0	Sweden
0	Switzerland
0	Tunisia
0	Turkey
0	Ukraine
0	United Kingdom
0	United States
0	Uruguay

Please provide the contact information of the person filling in the questionnaire:

First name:	
Adam	
Last name	
Dubas	
Job title:	
Principal Policy Advisor, Trade and International team	
Email:	
Adam.Dubas@mbie.govt.nz	
Telephone number (with country code):	
e.g.: +44 9876 123 456	
+64 4896 5124	
B. Institutional arrangements - (a) Structu	ırΔ
D. Institutional arrangements - (a) structi	\mathcal{A}_{1}

B. Institutional arrangements - (a) Structure, location and composition of the NCP

1. What is the structure of the NCP?		
0	Single agency	
③	Inter agency	
0	Expert based	
0	Multipartite	

7	Who	are the	membe	ers of th	A NICP2
/.	vviic	are me		-15 01 111	- INC -

Please describe the functions of the members of the NCP and the ministry/agency or stakeholder organisation they represent. No names are required.

The NCP function is exercised by a designated official as part of the broader range of duties of the unit.



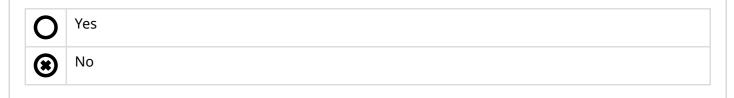
3. (Continued) If the NCP is not a single-agency and has a Secretariat, in which **ministry or agency** is located the Secretariat?

Ministry of Business, Innovation & Employment

3. (Continued) If the NCP is not a single-agency and has a Secretariat, in which **department** of the ministry or agency is located the Secretariat?

Labour, Science & Enterprise

4. Has the NCP been established through a **legislative**, **regulatory or administrative instrument** (e.g. a statute, a decree, a ministerial resolution)?



4. (Continued) If yes, please provide the **name and date of this instrument**, and a **link** if available

Additional comments on the section **Structure**, **location and composition of the NCP**:

B. Institutional arrangements - (b) Advisory body

5. (Continued) If the NCP has several advisory bodies, please **specify**.

Interagency stakeholder advisory group

5. (Continued) If yes, please describe the advisory body(ies)' **functions** (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing oversight, etc.)

The interagency stakeholder advisory group is periodically convened by the NCP to discuss relevant issues, receipt/progress of specific instance cases, outcomes of OECD meetings and promotion of the Guidelines. Should a specific instance arise in a specialist area outside of the NCP's ministry's portfolio, consultation would occur with the relevant agencies on the advisory group.

- 5. (Continued) If yes, please list the **names of organisations** that are represented on the advisory body(ies) and the type of organisation.
- (e.g. government, business, business organisation, NGO, trade union, academia, consumer organisation, etc.)

Treasury, Reserve Bank, Justice, Environment, Foreign Affairs, Inland Revenue, the NZ Council of Trade Unions, Etu union, Business New Zealand, New Zealand Directors' Institute, Sustainable Business Council, Human Rights Commission.

0	Once a year
③	Twice a year
0	Three times a year
0	Four times a year
0	More than four times a year
6. If a	an advisory body provides oversight, please describe the oversight procedure :
promo NZNCI and pu	rms of reference provide that the Liaison Group: • Assist and advise the NZNCP in relation to the otion and awareness raising of the Guidelines. • Monitor the effectiveness of the operation of the P, including recommending correct and fair procedures to be followed in line with the established ublished NZNCP procedures for dealing with complaints. • Recommend any changes to or further opment of NZNCP procedures, in light of experience.
Addit	tional comments on the section Advisory body(ies):
В	Institutional arrangements (s) Human and
	. Institutional arrangements - (c) Human and financial resources
7. Do	
7. Do	financial resources
7. Do	financial resources es the NCP have dedicated full-time staff members?
0	financial resources es the NCP have dedicated full-time staff members? Yes
0	financial resources es the NCP have dedicated full-time staff members? Yes
⊗	financial resources es the NCP have dedicated full-time staff members? Yes

0. 50	es the NCP have dedicated part-time staff members ?
®	Yes
0	No
8. (Co	ontinued) Please indicate the number of part-time staff members:
3	
	ontinued) Please indicate the percentage of time spent on NCP matters for each time staff member:
0.1- 0.2	2 FTA
9.1 H	ave any full-time staff members joined the NCP during the year?
0	Yes
®	No
	ontinued) Please indicate how many full-time staff members joined the NCP g the year:
0	
9.2 H	ave any part-time staff members joined the NCP during the year?
®	Yes
0	No

9.2 (Continued) Please indicate how many part-time staff members joined the NCP during the year:
2
10.1 Have any full-time staff members left the NCP during the year?
O Yes
No No
10.1 (Continued) Please indicate how many full-time staff members left the NCP during the year:
0
10.2 Have any part-time staff members left the NCP during the year?
Yes
O No
10.2 (Continued) Please indicate how many part-time staff members left the NCP during the year:
11. Did the NCP have a dedicated budget this year?
O Yes
No No

	Continued) If no, were financial resources for promotional nad hoc basis when requested by the NCP?		anoc	
3	Yes			
0	No			
	Continued) If no, were financial resources for specific inst	ances all	ocated	on a
	oc basis when requested by the NCP? Select N/A (Not Applicable) if the NCP did not handle specific instances this year.			
\frown	Yes			
\bigcirc	No			
0				
⊗	N/A			
llov	ouring the year, did the human and financial resources ava vit to: select "N/A" if the NCP was not invited/chose not to attend such events	ilable to	the NC	P
		Voc	No	NI//
Hand		Yes	No	
	dle specific instances in an efficient and timely manner	Yes	No O	8
Orga	dle specific instances in an efficient and timely manner anise promotional events	0	No O O	8
Orga Atter	dle specific instances in an efficient and timely manner anise promotional events and NCP meetings at the OECD	O ®	No O O O	8
Orga Atter	dle specific instances in an efficient and timely manner anise promotional events and NCP meetings at the OECD and events organised by other NCPs	0	No O O O	N/// ② C C
Orga Atter Atter	dle specific instances in an efficient and timely manner anise promotional events and NCP meetings at the OECD and events organised by other NCPs and events organised by stakeholders	O ®	No O O O O	*CCC
Orga Atter Atter	dle specific instances in an efficient and timely manner anise promotional events and NCP meetings at the OECD and events organised by other NCPs	O ®	No O O O O O	© C

12. (Continued) If you have answered "no" to any of the above, please **specify**:

Additional comments on the section **Human and financial resources**:

The NCP did not receive any specific instances this year

B. Institutional arrangements - (d) Reporting

13. Does the NCP report to the executive on its activities?		
(X)	Yes	
0	No	

13. (0	Continued) If yes, how often ?
0	On an ongoing basis
0	Less than once a year
®	Once a year
0	Twice a year
0	Three times a year
0	More than three times a year

Yes			
O is			
No			

0	On an ongoing basis
0	Less than once a year
0	Once a year
0	Twice a year
0	Three times a year
0	More than three times a year

15. Please indicate **any other relevant transparency requirement(s)** (e.g. access to information or disclosure laws) applicable to the NCP in your country:

The NCP is subject to the Official Information Act

Additional comments on the section **Reporting**:

The NCP reports to the relevant Minister as required – for instance, on the lodgement and resolution of specific instance cases

C. Information and promotion - (a) Website

16. Does the NCP have a **website**?

Yes

No

16. (Continued) If yes, please provide the **link**:

https://www.mbie.govt.nz/business-and-employment/business/trade-and-tariffs/oecd-guidelines-for-multi-national-enterprises/

17.1 Are the following **items available** on the NCP website?

Information about the Guidelines and the role of the NCP:

	Yes	No	N/A
The text of the Guidelines	8	0	0
A description of the Guidelines	8	0	0
The OECD Due Diligence Guidance Documents	8	0	0
Explanatory text about due diligence	8	0	0
Information on the NCP and its mandate	8	0	0
The NCP Annual Report submitted to the OECD	®	0	0
The NCP's Report to the executive and/or legislative (if applicable)	0	0	③
The NCP's peer review report (if applicable)	0	0	③

17.2 Are the following **items available** on the NCP website?

Information about specific instances

	Yes	No
Information on how to submit a specific instance	®	0
An online form to submit a specific instance	0	(X)
The NCP's rules of procedure	®	0
All final statements since 2011	®	0

17.3 Are the following items ava	ilable on the NCP website?
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Information on promotional activities:

	Yes	No
The NCP's promotional plan	0	③
Information on upcoming events promoting the Guidelines	0	③
Information on past events promoting the Guidelines	0	③

17.4 Are the following **items available** on the NCP website?

Contact information:

	Yes	No
Information on how to make an enquiry to the NCP	(8)	0
A phone number to reach the NCP directly	0	®
An email address to reach the NCP directly	*	0

Additional comments on the section NCP website:

We reviewed the website in 2021, to update content

C. Information and promotion - (b) Promotional activities*

18. Does the NCP have a promotional plan for the comir	ng year(s	5)?
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®	Yes					
0	No					

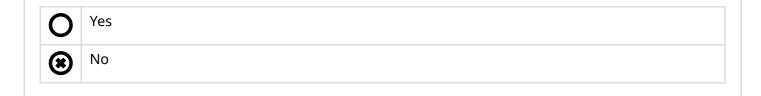
19. Did the NCP organise or co-organise eve	nts to promote the Guidelines and/or the
NCP during the year?	

If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the er	ıd
of the questionnaire.	

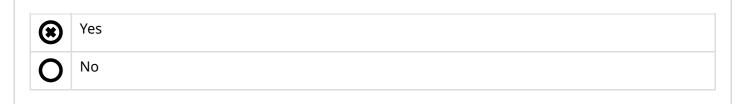


20. Did the NCP make a **presentation** to promote the Guidelines and/or the NCP in **events organised by others**?

If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.



21. Did the NCP make use of **social media** to communicate on NCP promotional activities during the year?



21. (Continued) If yes, please provide **additional details**:

Released a Twitter post to promote the stocktaking exercise

22. Did the NCP hold a **stakeholder meeting** during the year?

0	Yes
⊗	No
3. D ear?	id the NCP promote the Guidelines among the business community during the
3	Yes
0	No
	id the NCP carry out any training on the Guidelines aimed at businesses during ear?
	ear?
ne y	ear? Yes
ne y	ear? Yes
ne yo	ear? Yes
S 5. D	Yes No
ne yo	Yes No id the NCP promote the Guidelines among NGOs during the year?
● your service of the service of th	Yes No id the NCP promote the Guidelines among NGOs during the year? Yes

26. D	id the NCP promote the Guidelines among trade unions during the year?
0	Yes
®	No
27. Di year?	id the NCP promote the Guidelines among government agencies during the
®	Yes
0	No
28. Di	id the NCP promote the Guidelines among embassies abroad during the year?
0	Yes
③	No
29. Di	id the NCP promote the Guidelines to investment promotion agencies during ear?
0	Yes
®	No

30. Did the NCP focus on any	of the following du	uring promotional a	activities during
the year:			

	Yes	No
OECD Due Diligence Guidance for Responsible Business Conduct	0	③
OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector	0	③
Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors	0	®
OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas	0	®
OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector	0	3
OECD-FAO Guidance for Responsible Agricultural Supply Chains	0	*

Additional comments on the section **Promotional activities**:

Limited promotional activities due to impacts of COVID-19.

D. Specific Instances - (a) NCP rules of procedure for handling specific instances

31. Does the NCP have **rules of procedure** describing the handling of specific instances?

O No	®	Yes
	0	No

32. Are the rules of procedure available **online**?

③	Yes
0	No

	32.	(Continued)	۱f (ves,	please	provide a	link	<
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https://www.mbie.govt.nz/assets/8f4d4b5159/nz-ncp-specific-instance-indicative-procedures-timeframes.pdf

Additional comments on the section Rules of procedure:

We currently follow the general rules of procedure published by the OECD. We are in the process of developing specific rules of procedure for New Zealand.

D. Specific Instances - (b) Specific instance practicalities

34. D	oes the NCP confirm receipt of a specific instance submission?
Please	select N/A if the NCP has never received a specific instance
⊗	Yes
0	No
0	N/A

Please select N/A if the NCP has never received a specific instance Yes No No N/A 36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)? Yes No No 37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year Yes No No No No No No No No No N	Please s	select N/A if the NCP has never received a specific instance
No N/A 36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)? Yes No No 37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year Yes No No		·
36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)? Yes No No No Yes Please select N/A if the NCP did not receive a specific instance during the year? Yes No No	③	Yes
36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)? Yes No No 37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year Yes No	0	No
(e.g. mediation)? Yes No No 37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year Yes No No	0	N/A
(e.g. mediation)? Yes No No 37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year Yes No No		
(e.g. mediation)? Yes No No 37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year Yes No No	36 H:	as the NCP staff undergone training in dispute resolution or problem solving
37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year Yes No		
37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year O Yes O No		Va.s.
37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year O Yes O No	(%)	
Please select N/A if the NCP did not receive a specific instance during the year Yes No	O	No
Please select N/A if the NCP did not receive a specific instance during the year Yes No		
O Yes O No	37. Di	d the NCP engage professional mediators during the year?
O No	Please s	select N/A if the NCP did not receive a specific instance during the year
O No		Vas
	0	
⊗ N/A	0	No
	③	N/A

38. Did the NCP staff or members conduct **mediation** this year?

Please select N/A if the NCP has never received a specific instance

O Yes
O No
⊗ N/A
Additional comments on the section Specific instance practicalities:
D. Specific Instances - (c) Reporting specific instances
39. Did the NCP receive new specific instance submissions during the year?
If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.
O Yes
⊗ No
40. Did the NCP close specific instances during the year?
If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end
of the questionnaire.
Yes
O No

41.	f the specific instances that were already in progress at the start of the year ,
are	nere any that are still ongoing at the end of the year ?

If yes, please also provide details in the **NCP Reporting Questionnaire Annex** document that will be uploaded at the end of the questionnaire.

Select N/A if no specific instances were in progress at the start of the year.

0	Yes
③	No
0	N/A

42. Did the NCP **follow up** on a case during the year?

If yes, please also provide details in the **NCP Reporting Questionnaire Annex** document that will be uploaded at the end of the questionnaire.

Select N/A if no specific instances were concluded before the start of the year.

0	Yes
3	No
0	N/A

43. Is the OECD database of specific instances (https://mneguidelines.oecd.org/database/) **accurate and up to date** with regard to cases handled by the NCP?

Select N/A if the NCP has never received a specific instance.

⊗ No	
O N/A	

43. (Continued) Please provide **details** (missing cases, out of date entries, broken links, etc.) in the box below:

The status of the following specific instances should be changed from "in progress" to "concluded" Human rights impacts of a building-related enterprise in New Zealand http://mneguidelines.oecd.org/database/instances/nz0004.htm Issues concerning the rights of indigenous peoples and a construction company in New Zealand http://mneguidelines.oecd.org/database/instances/nz0006.htm

Additional comments on the sectio	n Reporting specific instances:
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E. Peer learning and peer reviews

44. Did the NCP take part in the following activities with other NCPs during the year:

	Yes	No
Host a peer learning activity	0	③
Participate in peer learning activities hosted by other NCPs	0	③
Co-operate with other NCPs in handling specific instances	0	③
Provide mentoring/capacity building to another NCP	0	③

45. Is the NCP interested in hosting an **NCP learning/experience-sharing event** in 2022?

®	Yes
0	No

46. Which **topic** would the NCP consider to be a priority to cover in a **peer learning event**?

Promotion of the NCP.

47. Is the NCP interested in **participating** in developing **tools for use** by NCPs?

0	Yes
_	No
48. Is t	the NCP interested in acting as a peer reviewer in the future?
®	Yes
0	No
If yes,	please specify the semester and the year :
Late 20	22
Additi	ional comments on the section Peer-learning and peer reviews:
Additi	ional comments on the section Peer-learning and peer reviews:
Additi	ional comments on the section Peer-learning and peer reviews: F. Policy coherence*
49.	
49. legisl a	F. Policy coherence* Have the Guidelines been referred to in relevant national
49. legisla (e.g. on r	F. Policy coherence* Have the Guidelines been referred to in relevant national ation/regulations/policies adopted during the year?
49. legisla (e.g. on r	F. Policy coherence* Have the Guidelines been referred to in relevant national ation/regulations/policies adopted during the year? responsible business conduct; non-financial reporting, export credits regulation, public procurement)

If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

New Zealand's policy on Modern Day Slavery is shaped by the MNE Guidelines https://www.mbie.govt.nz/dmsdocument/13568-combatting-modern-forms-of-slavery-plan-of-actionagainst-forced-labour-people-trafficking-slavery

50. Did your country adopt a National Action Plan (NAP) this year?	
O Yes	
⊗ No	
50. (Continued) Please indicate which type of National Action Plan :	
NAP on Business and Human Rights	

50. (Continued) Please provide a link to the NAP on Business and Human Rights:

If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

NAP on Corporate Social Responsibility

NAP on Responsible Business Conduct

50. (Continued)) Did the NAP	on Business and Human	Rights make	reference to:
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	Yes	No
The Guidelines?	0	0
The NCP?	0	0

50. (Continued) Please provide a link to the NAP on Corporate Social Responsibility:

If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

50. (Continued) Did the NAP on Corporate Social Responsibility make reference to:

	Yes	No
The Guidelines?	0	0
The NCP?	0	0

50. (Continued) Please provide a link to the NAP on Responsible Business Conduct:

If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

50. (Continued) Did the **NAP on Responsible Business Conduct** make **reference** to:

	Yes	No
The Guidelines?	0	0
The NCP?	0	0

Y	es
N	lo
Cor	ntinued) If yes, please indicate which NAP :
7	NAP on Business and Human Rights
כ	NAP on Corporate Social Responsibility
כ	NAP on Responsible Business Conduct
Cor	ntinued) If yes, did the NCP participate in the development of the NAP?
	ntinued) If yes, did the NCP participate in the development of the NAP?
Y	
Y	es
Y	es
Y O	es
Ye N	the NCP inform officials responsible for trade missions of its relevant ents and reports?
Ye N	the NCP inform officials responsible for trade missions of its relevant
You N	the NCP inform officials responsible for trade missions of its relevant ents and reports?
You No	the NCP inform officials responsible for trade missions of its relevant ents and reports? ect N/A if the NCP did not publish any statement this year

53. Did the NCP inform officials responsible for foreign trade and investment incentives of its relevant statements and reports? Please select N/A if the NCP did not publish any statement this year		
O No		
O N/A		
54. Did the NCP inform officials responsible for public procurement of its relevant statements and reports?		
Please select N/A if the NCP did not publish any statement this year		
Yes		
O No		
O N/A		
55. Does your national legislation or policy on public procurement refer to the Guidelines and/or OECD due diligence instruments and/or to the NCP process ?		
O Yes		
No		
55. (Continued) Please provide a link to the legislation.		
If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.		

	ave public procurement officials/practitioners consulted the NCP on the elines and OECD due diligence instruments or involved the NCP in specific public urement opportunities?
0	Yes
®	No
57. W activi	Vere public procurement officials involved in any of your training/outreach ities?
0	Yes
3	No
	hat tools or activities does the NCP develop to support public procurement titioners on RBC and OECD due diligence?
	lease identify any specific initiatives/good practices involving public urement and RBC in your country:
New Z	overnment Procurement Rules form the regulatory framework that governs public procurement in Zealand. These rules are mandatory for approximately 136 public sector agencies, but are able throughout all levels of government. These rules take into account all eight RBC objectives.
60. P	lease include any other examples of policy coherence activities:

G. Challenges

61. Has the NCP faced any particular challenge(s) it would like to highlight this year?

Ongoing response to COVID-19 has impacted our ability to adequately resource the NCP

Additional comments on the section Challenges:

Annex - NCP Promotional activities and Specific instances

Additional materials (e.g. rules of procedure, legislations, flyers, other promotional materials) may be uploaded on the next page.

Last page before submission

You have reached the **last page of the questionnaire before submitting your responses** to the OECD Secretariat.

Before submitting the questionnaire by clicking on "**Submit**", you may view your responses by clicking on the "**Print**" icon and save your responses by clicking on the "**Save progress and resume later**" icon at the bottom of the page.

Please click on "Submit" to submit the quesionnaire.